

Lanternman Regional Center
Self-Determination Advisory Committee

December 1st, 2022
4:30pm-6:00pm

Register in advance for this meeting/webinar:

https://us02web.zoom.us/webinar/register/WN_FjP4oQ42TX-6fc5Yw25l0g

After registering, you will receive a confirmation email containing
information about joining the webinar.

AGENDA

- | | |
|--|-------------------|
| 1. Welcome and Introductions | Pierre |
| 2. Approval of October'22 Minutes | Committee |
| 3. FDLRC SDP Updates | Adrian |
| 4. Report on the FDLRC SDP Support Group | Kristianna |
| 5. Discussion on DDS Directive: Initial Person Centered Plan and Pre-
Enrollment Transition Supports Guidance | |
| a. Other Directives, as needed | Committee |
| 6. Proposal for a Best Practices Sub-Committee | Pierre/Kristianna |
| 7. Allocation of SDAC Implementation Funds | Committee |
| 8. Public Input | Attendees |
| 9. Adjourn | Pierre |

SELF-DETERMINATION ADVISORY COMMITTEE

October 6, 2022

MINUTES

PRESENT

Pierre Landry, Chair
Lia Cervantez-Lerma, SCDD
Jamie Cha-McGrath
Lareka Killebrew
Mariko Magami
Howard McBroom
Kristianna Moralls
Boanerges Rodriguez, DRC

NOT PRESENT

Karla Garcia-Diaz
Michelle Wolf

STAFF

Adrian Jimenez
Carmine Manicone
Guadalupe Munoz
Stephanie Ruiz

GUESTS

West Family
Various Guests from the Public

CALL TO ORDER

Mr. Landry called the meeting to order at 4:30 PM. The meeting was held via Zoom.

INTRODUCTIONS

Committee members and West Family made introductions.

AUGMENTED AGENDA

Mr. Landry advised the Committee that the following item was added to the agenda:

- Update on the September 27, 2022 DDS Letter regarding Funding to Support Implementation of the SDP.

APPROVAL OF MINUTES

The minutes of August 4, 2022 were approved by consensus.

FDLRC SDP UPDATES

Mr. Jimenez reported on the self-determination program client/participants activity as follows:

- Total number of clients interested in SDP = 225
- Total number of clients enrolled in SDP = 117

UPDATE ON THE JULY 28, 2022 DDS DIRECTIVE FOR INDEPENDENT FACILITATORS

Mandatory Orientation

Ms. Moralls advised the Committee that the Center is hosting the mandatory orientation on self-determination program with live and questions and answers. The next one will be on October 25, 2022 from 6:00 pm – 7:30 pm. Mr. Jimenez will send the flyer to the Committee.

Update on the September 27, 2022 DDS Letter regarding Funding to Support Implementation of the SDP

Mr. Landry reminded the Committee that the Center has received funding to support implementation of self-determination program for FY 2022-23 and is recommending that the Center fund \$2,500. to support the DVU conference in December.

Ms. Morralls moved to fund \$2,500. to support the DVU conference in December, Mr. McBroom seconded the motion, and it passed unanimously.

Mr. Landry asked the Committee to read the letter and come to the December meeting with ideas on how to spend the remaining funding.

REPORT ON THE FDLRC SDP SUPPORT GROUP

Mr. Jimenez and Ms. Moralls advised the Committee that the Center has started hosting a self-determination program support group on the last Wednesday of the month from 10:00 am – 12:00 pm. The support group is for current Lanterman SDP participants only and will alternate between open forum and guest speakers on topics chosen by the group. Mr. Jimenez will send flyers to the Committee.

AUTISM SOCIETY INDEPENDENT FACILITATOR EFFORTS

Ms. Kim Sinclair gave a focused presentation on the Autism Society of Los Angeles's Independent Facilitator Mentorship Program. The training includes:

- Introduction to SD
- Person Centered Planning
- Annual Budget & Spending Plans
- Writing Goals That Work
- Service Codes & Generic Resources
- The IF Role and IF as a Business
- The Independent Facilitator Panel

Discussion and questions followed.

SDP SUCCESS STORY

Mr. and Ms. West shared with the Committee their SDP success story with their son Zach West. They identified road blocks or barriers they encountered for Zach while he was receiving traditional services. They shared what support they received from their IF and the Regional Center to successfully transition Zach into the SDP and the significant changes and goals that Zach has achieved since his transition into SDP. They are very happy with SDP.

SDAC MEETING DATES FOR 2022

- November – DARK
- December 1, 2022

PUBLIC INPUT

SCDD Trainings:

Ms. Cervantes-Lerma announced that the State Council on Developmental Disabilities is conducting statewide trainings on various topics throughout the year. A flyer was distributed to the Committee.

SCDD Newsletter:

Ms. Cervantes-Lerma announced that if you would like to receive SCDD Los Angeles' newsletters and other resources, you can subscribe to their e-mailing list by contacting: Marina.Bchtikian@scdd.ca.gov.

ADJOURNMENT

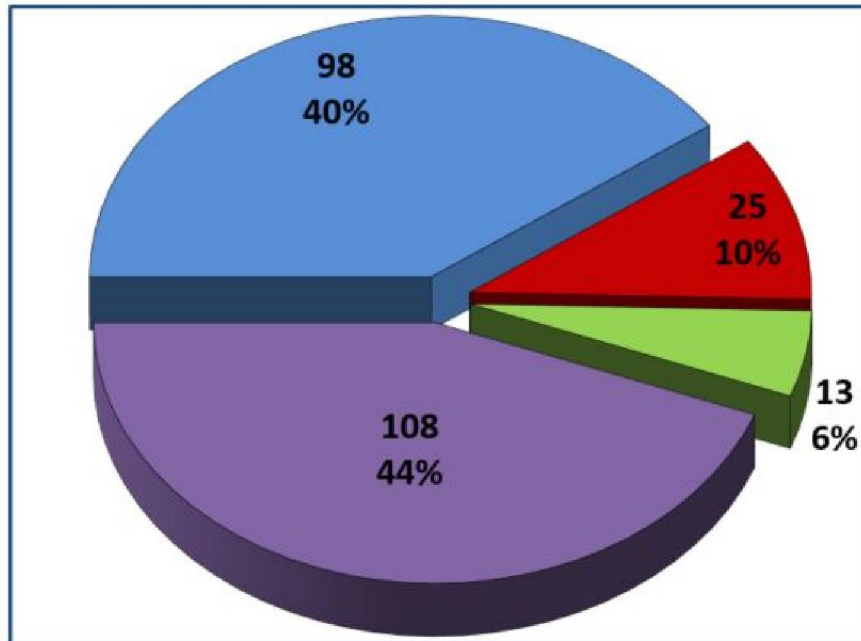
The meeting was adjourned at 6:10 PM.

/fl

DRAFT

Self-Determination Program Client/Participants Activity

■ Clients in Year 1 ■ Clients in Year 2 ■ Clients in Year 3 ■ No Transition



Total number of clients interested in SDP = 244

Total number of clients enrolled in SDP = 136

Total percentage of interested clients who have enrolled in SDP = 55.74%

DEPARTMENT OF DEVELOPMENTAL SERVICES

1215 O Street, MS 7-40
Sacramento, CA 95814
TTY: 711
(833) 421-0061



DATE: JULY 28, 2022

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: SELF-DETERMINATION PROGRAM: INITIAL PERSON-CENTERED
PLAN AND PRE-ENROLLMENT TRANSITION SUPPORTS GUIDANCE

The purpose of this correspondence is to outline services available to potential Self-Determination Program (SDP) participants and their families who have completed an SDP orientation and who are transitioning to enrollment in the SDP. Enrollment occurs on the date the regional center changes the consumer's Case Management type to "S" for Self-Determination. The consumer is then considered enrolled in and a participant in the SDP. A potential SDP participant may receive an Initial Person-Centered Plan and/or Pre-Enrollment Transition Supports. For the Initial Person-Centered Plan and/or Pre-Enrollment Transition Supports, the participant must choose from Option A or Option B, described below. Beginning February 1, 2023, only Option B will be available.

OPTION A

Maintains, through January 31, 2023, the current guidance for the Initial Person-Centered Plan and Pre-Enrollment Transition Supports found in the Department of Developmental Services' (Department) directives of [February 13, 2019](#), [September 3, 2019](#), [October 30, 2020](#) and [July 15, 2021](#). Information on estimated rates can be found on the Department's SDP [FAQ webpage](#) under "Person-Centered Planning."

OPTION B

Available beginning August 1, 2022, and effective February 1, 2023, is the sole option for the Initial Person-Centered Plan and the Pre-Enrollment Transition Supports. As such, effective February 1, 2023, this guidance supersedes the Department's directives of [February 13, 2019](#), [September 3, 2019](#), [October 30, 2020](#) and [July 15, 2021](#).

"Building Partnerships, Supporting Choices"

Option B separates the Initial Person-Centered Plan from a new service for Pre-Enrollment Transition Supports. It is anticipated that each regional center will work with their local community partners to identify vendors who will provide this service by February 1, 2023, and add those new vendors to the list of vendors on the regional center's website. The following guidance regarding the Initial Person-Centered Plan and Pre-Enrollment Transition Supports applies to Option B.

Initial Person-Centered Plan

A consumer transitioning into SDP, who has completed an SDP orientation, may request the development of a Person-Centered Plan. A Person-Centered Plan describes what the potential SDP participant wants their life to be like in the future so they can work towards their goals. It is based on their strengths, capabilities, preferences, lifestyle and culture. It can be used to inform the writing of the Individual Program Plan (IPP). Regional centers may purchase and make payment up to \$1,000 for the Initial Person-Centered Plan from:

- Vendored providers of person-centered planning services; or
- Non-vendored providers who demonstrate they have received training or certification in the person-centered planning/facilitation process. Payment to non-vendored providers is to be made as a "Purchase Reimbursement" under service code 024.

Regional Centers may increase payment on a case-by-case basis for potential SDP participants whose Initial Person-Centered Plan requires more time due to a lack of natural supports to assist with the enrollment process, when the preferred language is other than English, or when there is a higher level of service need as a result of their disability. Payment may be made only after the regional center receives the invoice that shows a written Initial Person-Centered Plan has been delivered as agreed to by the potential SDP participant.

Pre-Enrollment Transition Supports

Pre-Enrollment Transition Supports are offered in a variety of ways which do not require a vendored service provider:

- Regional center service coordinators assist individuals and families with the transition.
- Regional centers work with their Self-Determination Local Volunteer Advisory Committee to identify or contract for local transition supports. Funding information can be found in the Department's guidance of [February 11, 2022](#).

- Regional center Participant Choice Specialists assist individuals pursuing self-direction through the SDP.

For potential SDP participants who need additional transition supports, a new vendored service is available called “Self-Directed (SD) Supports,” service code 099, and is split between *General Self-Directed (General SD) Supports* and *Financial Management Services Self-Directed (FMS SD) Supports*. Regional centers may authorize SD Supports, in total, for up to 40 hours. Additional hours may be authorized on a case-by-case basis for potential SDP participants (see Enclosure).

General SD Supports are authorized to be provided after SDP orientation and before a potential SDP participant is enrolled in the SDP. This service is for any assistance, coaching and/or training supports needed by a potential SDP participant and their family or their representative to successfully enroll in SDP. This does not include supports related to developing an Initial Person-Centered Plan. In vendoring new providers for this service, regional centers must use service code 099. See Enclosure for detailed information about General SD Supports.

FMS SD Supports are authorized to be provided after SDP orientation and before a potential SDP participant is enrolled in the SDP. This does not include supports related to developing an Initial Person-Centered Plan. This service may only be provided by FMS agencies serving SDP participants. In vendoring providers for this service, regional centers must use service code 099. See Enclosure for detailed information about FMS SD Supports.

Potential SDP participants are not required to have either a Person-Centered Plan or use SD Supports to enroll in SDP. While billing of these services is to be identified separately, the process of carrying out Pre-Enrollment Transition Supports should feel seamless to the potential SDP participant.

Regional Center Executive Directors
July 28, 2022
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If participants or their families have questions regarding this correspondence, they should contact their regional center service coordinator and if Regional Centers have questions, they should contact sdp@dds.ca.gov.

Sincerely,

Original signed by:

MARICRIS ACON
Deputy Director
Federal Programs Division

Enclosure

cc: Regional Center Administrators
Regional Center Directors of Consumer Services
Regional Center Community Services Directors
Association of Regional Center Agencies
State Council on Developmental Disabilities
Nancy Bargmann, DDS
Brian Winfield, DDS
Carla Castañeda, DDS
Jim Knight, DDS
Ernie Cruz, DDS
Tim Travis, DDS

Service Definition – Self-Directed Supports (Service Code 099)

Self-Directed Supports (SD Supports) occur after a consumer completes a Self-Determination Program (SDP) orientation and before potential enrollment in the SDP. Enrollment occurs on the date the regional center changes the consumer's Case Management type to "S" for Self Determination. The consumer is then considered enrolled in and a participant in the SDP.

SD Supports include two distinct types of assistance: General SD Supports and Financial Management Services (FMS) SD Supports. Providers of each must meet distinct vendor qualifications and both services may provide assistance, coaching and/or training via 1:1 or group setting to help the potential SDP participant(s) gain a thorough understanding of the SDP.

Regional centers may authorize SD Supports, in total, for up to 40 hours. Additional hours may be authorized on a case-by-case basis for potential SDP participants who need more intensive supports to successfully transition into SDP, such as consumers and family members who do not have access to natural supports to assist with the enrollment process, whose preferred language is other than English, or have a higher level of service need as a result of their disability. Hourly rates for SD Supports are set by the Department.

General SD Supports

Includes the following services provided by a qualified General SD Supports provider to a potential SDP participant enrolling in SDP. Examples of responsibilities include assistance, coaching and/or training in the following areas:

1. Individual program planning
 - a. Coordination with an individual who provided the Initial Person-Centered Plan
 - b. Assistance at the individual program planning meeting to identify the potential SDP participant's needs, goals and services in the SDP
2. Coordinating how services and supports are provided
 - a. Finding and hiring qualified staff or an agency provider
 - b. Negotiating staff pay or provider rate
 - c. Understanding the process for obtaining criminal background checks for staff, if applicable
 - d. Basic information about who can provide services (e.g., qualifications, rules on who may or may not be paid)
 - e. Basic information about how to manage staff (e.g., setting expectations and hours of work, timely submission and claiming for hours worked)

- f. Coaching on all aspects of onboarding and training staff
- 3. Managing the 12-month SDP individual budget through the spending plan
 - a. Education about generic sources of funding to maximize use of SDP funds
 - b. Understanding the process/assistance to obtain a certified 12-month budget, understanding how an SDP individual budget is developed and reviewing/developing the individual spending plan
 - c. Learning and developing basic skills in budgeting and tracking monthly expenditures
 - d. Basic information about the use of SDP funds (e.g., as required in state or federal law and regulations)
 - e. Assistance with establishing communication and coordination between the regional center, FMS provider, and staff

General SD Supports Provider Qualifications: Vendors seeking to provide General SD Supports must submit a written qualification statement indicating their knowledge and/or experience in each of the following: knowledge of people with developmental disabilities, through lived experience, and/or one year of formal paid experience; completion of a training course about the self-determination program; and, knowledge of the Lanterman Developmental Disabilities Services Act, including SDP requirements.

Financial Management Services SD Supports

Includes the following services provided by a vendored FMS agency to a potential SDP participant enrolling in SDP. Examples of responsibilities include assistance, coaching and/or training in the following areas:

- 1. Education and coaching about
 - a. Employment laws, overtime rules, timesheet requirements and responsibilities, insurance requirements
 - b. Reviewing the monthly budget report provided by the FMS and responsibilities for reviewing the individual budget expenditures; requirements for adjustments to the individual budget and spending planning and FMS processes for these
- 2. Eligible goods and services requirements
 - a. Process for and assistance with obtaining criminal background checks
 - b. Verification that the proposed services are compliant with Home and Community-Based Services settings requirements
 - c. Review of and assistance in adjusting the individual spending plan to ensure the method for calculating the proposed expenditures are based on reliable costs and service utilization and adjustments, as needed

Enclosure

- d. Discussions with the regional center about inclusion of the spending plan and service authorizations in the e-billing system and other financial and billing requirements, POS processes and regional center processes to support a successful transition
- 3. Other topics, as needed, to support a smooth transition into the SDP

FMS SD Supports Provider Qualifications: The FMS agency must be vendored by the regional center to provide FMS SD Supports, must be a current FMS vendor for SDP participants and show a demonstrated ability to provide the duties specified above.