Dina Richman

Department of Developmental Services

Reset Form

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of Interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Regional Center Position/Title:	A		Lanterman
negional Center Position/ (me:		mber nmittee sitting on Board Agent	☐ Executive Director ☐ Employee ☐ Consultant
Reporting Status: If a change in status, date and	☐ Change of Status ⁺	New Appointment (date): in status:	*

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, little 17, sections 54531(d) and 54532(d).

Governing Board Member
☐ Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

2. Do you or a family member² work for any entity or organization that is a regional center provider or contractor?
Q yes on o — If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

3. Do you or a family member own or hold a position³ in an entity or organization that is a regional center provider or contractor? yes no -- If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

4. Are you a regional center advisory committee board member? □ yes □ no - If yes, are you a member of the governing board or owner or employee of an entity or organization that provides services to the regional center or regional center consumers? □ yes □ no - If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers.

5. If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?

yes
no — If yes, please explain.

Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17,

sections 54506(f).

3 For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

	95	U Vendor Advisory on Board Executive Director Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional certinancially benefit you or a family member⁴? [Note: Governing board members do not hat question if the financial benefit would be available to regional center consumers or their fall yes ☐ no — If yes, please explain.	ve to answer "ves" to this
7.	Are you responsible for negotiating, making, executing or approving contracts on behavener? Provided the proving contracts on behaveners.	lf of the regional
	My only involvement in the approval of regional center contracts relates to \$250,000 or more, for which Welfare and Institutions Code section 4625.5 regional center Board approval.	
8.	Do you have a financial interest in any contract ⁶ with the regional center?	- If yes, did you negotiate, · If yes, please explain.
9,	Do any of your family members have a financial interest in any contract with the regional ce if yes, did you negotiate, make, execute or approve the contract on behalf of the regional ce if yes, please explain.	nter? yes no

Governing Board Member

the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

⁵ California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

Governing Board Member
Vendor Advisory on Board
Executive Director
☐ Employee/Other

		Employee/Other
10. ~	Do you evaluate employment applications or contract bids that are submitted ☐ yes ☐ no − If yes, please explain.	by your family member(s)?
	ε	
	Your job duties require you to act in the best interests of the regional center at have any circumstances or other financial interests not already discussed above in the best interests of the regional center or its consumers?	nd regional center consumers. Do you e that would prevent you from acting - If yes, please explain.
	*	
	•	
B.	ATTESTATION	
State any cent indiv State	(print name) HEREBY CONFIRM the conal center's Conflict of Interest Policy and that my responses to the questions ement are complete, true, and correct to the best of my information and belia information that might indicate that this statement is not accurate or that it er's Conflict of Interest Policy or the applicable conflict of interest laws, I will not induce immediately. I understand that knowingly providing false information of the complete me to a civil penalty in an amount up to fifty thousand dollar tutions Code section 4626.	ef. I agree that if I become aware of have not complied with the regional otify the regional center's designated on this Conflict of Interest Reporting
	Signature Da	te 7-22-20
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual	otential conflict of Interest
	Signature of Designated Reviewer	Date Review Completed
	æ	
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Anthony Stein

Department of Developmental Services

Reset Form

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTIN	IG INDIVIDUAL		
Name: Anthony C. Stein		Regional Center:	Lanterman
Regional Center Position/Title:	Governing Board U Vendor Advisory Contractor	d Member Committee sitting on Board ☐ Agent	☐ Executive Director☐ Employee☐ Consultant
Reporting Status: If a change in status, date and	Annual Change of Status d circumstance of cha		
If a change in status, date and	d circumstance of cha	inge in status:	
Please list your job title and d	lescribe your job duti	es at the regional center.	

Member, Board of Directors

Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

X	Soveming Board Member Jendar Advisory on Board
'D'	ender Advisory on Board
DE	Executive Director
	Employee/Other

2.	Do you or a family member ² work for any entity or organization that is a regional center provider or contractor? Q yes no – If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity,
	provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

	Do you or a family member own or hold a position ⁸ in an entity or organization that is a regional center provider or
	contractor? ves xno if yes, provide the name of the entity or organization, describe what services it
	provides for the regional center or regional center consumers, and describe your or your family member's financial
	Interest.

Are you a regional center advisory committee board member?
governing board or owner or employee of an entity or organization that provides services to the regional center or
regional center consumers?
what services it provides for the regional center or regional center consumers.

5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question 4
	above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on
	purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial
	interest?

N/A

² Family member includes your spouse, domestic partner, parents, etepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

³ For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54528.

	☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member ⁴ ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally]. yes no – If yes, please explain.
7.	Are you responsible for negotiating, making, ⁵ executing or approving contracts on behalf of the regional center? ves no If yes, please explain.
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Code section 4625.5 requires regional center Board approval.
8.	Do you have a financial interest in any contract ⁶ with the regional center? make, execute or approve the contract on behalf of the regional center? yes no - If yes, did you negotiate, yes no - If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center? If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center? If yes, please explain.

Governing Board Member Uvend or Advisory on Board ☐ Executive Director

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

⁵ California Code of Canadaliana title 17, sections 54524 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial interest in a contract when financial interests in a contract will occur.

Governing Board Member	r
Vendor Advisory on Boar	d
☐ Executive Director	
☐ Employee/Other	
	_

10.	Do you evaluate employment applications or contract bids that are submitted b ☐ yes ☎no — If yes, please explain.	y your family member(s)?
11.	Your job duties require you to act in the best interests of the regional center an have any circumstances or other financial interests not already discussed above in the best interests of the regional center or its consumers? yes no -	that would prevent you from acting
В,	ATTESTATION	
reg Sta any cer ind Sta	Inthony C. Stein (print name) HEREBY CONFIRM the ional center's Conflict of Interest Policy and that my responses to the questions tement are complete, true, and correct to the best of my information and belief information that might indicate that this statement is not accurate or that if ter's Conflict of Interest Policy or the applicable conflict of Interest laws, I will not interest in a civil mediately. I understand that knowingly providing false information of the conflict of interest in a civil penalty in an amount up to fifty thousand dollar citutions Code section 4626.	of. I agree that if I become aware of have not complied with the regional otify the regional center's designated on this Conflict of Interest Reporting
	Signature Da	te
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual	
	Signature of Designated Reviewer	Date Review Completed
	<u> </u>	

Kimberly Isaac

Department of Developmental Services

Reset Form

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

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Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

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A. INFORMATION OF REPORTI	NG INDIVIDUAL		
Name: Kimberly Isa	ac	Regional Center: _	Lanterman Regional C
Regional Center Position/Title:	☐ Governing Boa	rd Member	☐ Executive Director
	Vendor Adviso	ry Committee sitting on Board	☐ Employee
	☐ Contractor	☐ Agent	☐ Consultant
Reporting Status:	☐ Annual☐ Change of Stat	☐ New Appointment (date):	
If a change in status, date an	d circumstance of ch	nange in status:	
0 ,		ionge in status.	

1. Please list your job title and describe your job duties at the regional center.

Board Member

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

α,	Governing Board Member
M	Vendor Advisory on Board
	Executive Director
	Employee/Other

2. Do you or a family member² work for any entity or organization that is a regional center provider or contractor?

Yes on o - If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

Cal Wes Homes, Residential Services

3. Do you or a family member own or hold a position³ in an entity or organization that is a regional center provider or contractor? Yes yes on a -- If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

4. Are you a regional center advisory committee board member? yes no -- if yes, are you a member of the governing board or owner or employee of an entity or organization that provides services to the regional center or regional center consumers? yes no -- if yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers.

Cal Wes Homes, Residential Services

Isaac

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member ⁴ ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf of the regional center?
	not approve regional center contracts.
8.	Do you have a financial interest in any contract ⁶ with the regional center? make, execute or approve the contract on behalf of the regional center? yes in o If yes, did you negotiate, yes yes o If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center? yes if yes, did you negotiate, make, execute or approve the contract on behalf of the regional center? yes in o if yes, please explain.

☐ Governing Board Member

the making of a contract.

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

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		Executive Director Employee/Other
10. D	o you evaluate employment applications or contract bids that are submitted by your fan I yes 🗖 no If yes, please explain.	nily member(s)?
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ha	our job duties require you to act in the best interests of the regional center and regional ave any circumstances or other financial interests not already discussed above that wou the best interests of the regional center or its consumers?	ild prevent you from acting
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B. AT	ITESTATION	
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ı <u>K</u>	Importy Usaac (print name) HEREBY CONFIRM that I have lal center's conflict of Interest Policy and that my responses to the questions in this Co	nflict of Interest Reporting
regional Statem	(print name) HEREBY CONFIRM that I have real center's donflict of Interest Policy and that my responses to the questions in this Confinent are complete, true, and correct to the best of my information and belief. I agree formation that might indicate that this statement is not accurate or that I have not consider the control of the co	nflict of Interest Reporting that if I become aware of omplied with the regional
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Governing Board Member

Gloria Leiva

Department of Developmental Services

Reset Form

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Name: Glong F	Leiva	Regional Center:	
Regional Center Position/Title:	Onal Center Position/Title: ☐ Governing Board Member ☐ Vendor Advisory Committee sitting on Board ☐ Contractor ☐ Agent		☐ Executive Director☐ Employee☐ Consultant
Reporting Status:	☐ Annual☐ Change of Stat	New Appointment (date):	
If a change in status, date and			

Board number.

^{1.} Please list your job title and describe your job duties at the regional center.

Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

Governing Board Member
Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

2. Do you or a family member² work for any entity or organization that is a regional center provider or contractor?

If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

3. Do you or a family member own or hold a position³ in an entity or organization that is a regional center provider or contractor? yes no -- If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

4. Are you a regional center advisory committee board member? ☐ yes ☐ no — If yes, are you a member of the governing board or owner or employee of an entity or organization that provides services to the regional center or regional center consumers? ☐ yes ☐ no — If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers.

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² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54628.

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4	Governing Board Member
	Vendor Advisory on Board
	Executive Director
	Employee/Other

Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member ⁴ ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally]. Question if yes, please explain.
Supplemental Control of the Control

Are you responsible for negotiating, making, executing or approving contracts on behalf of the regional center?
 yes no - If yes, please explain.

My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Code section 4625.5 requires regional center Board approval.

9. Do any of your family members have a financial interest in any contract with the regional center?

If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?

If yes, please explain. □ yes □ no □ ye

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⁵ California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

⁶ For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

Governing Board Member
Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

	- Embigaes Ones
 Do you evaluate employment applications or contract bids that are submitted yes no − If yes, please explain. 	ed by your family member(s)?
g.	
11. Your job duties require you to act in the best interests of the regional center have any circumstances or other financial interests not already discussed ab in the best interests of the regional center or its consumers?	and regional center consumers. Do you ove that would prevent you from acting o - If yes, please explain.
	**
B. ATTESTATION	The state of the s
regional center's Conflict of Interest Policy and that my responses to the question Statement are complete, true, and correct to the best of my information and be any information that might indicate that this statement is not accurate or that center's Conflict of Interest Policy or the applicable conflict of interest laws, I will individual immediately. I understand that knowingly providing false information Statement shall subject me to a civil penalty in an amount up to fifty thousand dolinstitutions Code section 4626.	elief. I agree that if I become aware of I have not complied with the regional notify the regional center's designated as on this Conflict of Interest Bone time.
Signature Shuwafny c	Date 7/23/2028
INTERNAL USE ONLY	
Date this Statement was received by Reviewer:	
The reporting individual	potential conflict of Interest
Signature of Designated Reviewer	Date Review Completed
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Alexander Li

Department of Developmental Services

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

Reset Form

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Aletanh	Lo	Regional Center:	Freque D. Comp	emm
Regional Center Position/Title:	A CONTRACT OF THE PARTY OF THE		☐ Executive Director	Regions
Reporting Status:		☐ New Appointment (date		

1. Please list your job title and describe your job duties at the regional center.

Board menhad

Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

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Governing Board Member
Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other
Chipidy and the

2. Do you or a family member² work for any entity or organization that is a regional center provider or contractor? ☐ yes ☒ no — If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

3. Do you or a family member own or hold a position³ in an entity or organization that is a regional center provider or contractor? yes no - if yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

5. If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest? U yes no -- If yes, please explain.

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, steppiblings, children

³ For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

0/	Governing Board Member Vendor Advisory on Board
	Executive Director
	mployee/Other

6. Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member*? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].

7. Are you responsible for negotiating, making,⁵ executing or approving contracts on behalf of the regional center? yes no - If yes, please explain.

My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Code section 4625.5 requires regional center Board approval.

9. Do any of your family members have a financial interest in any contract with the regional center? If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center? If yes, please explain.

· 1:

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or svold a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

Governing Board Member
Userdor Advisory on Board
☐ Executive Director
☐ Employoe/Other

10. Do you evaluate employment applications or contract bids that are submitted by your family member(s)? Uses Ino -- If yes, please explain.

B. ATTESTATION	
Alegander (print name) Heregional center's Conflict of Interest Policy and that my responsible Statement are complete, true, and correct to the best of my in any information that might indicate that this statement is not center's Conflict of Interest Policy or the applicable conflict of individual immediately. I understand that knowingly providing Statement shall subject me to a civil penalty in an amount up to institutions Code section 4626.	nformation and belief. I agree that if I become aware of accurate or that I have not complied with the regional nterest laws, I will notify the regional center's designated at false information on this Conflict of Interest Research
	Date
INTERNAL USE ONLY	The State of the S
Date this Statement was received by Reviewer:	URA MARKANIA KANDANIA
The reporting individual	ve a present potential conflict of interest
Signature of Designated Reviewer	Date Review Completed

Jonathan Martinez

Department of Developmental Services

Reset Form

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING	SINDIVIDUAL		
Name:	Martinez	Regional Center:	Latterman
Regional Center Position/Title:	Governing Board	d Member	☐ Executive Director
		Committee sitting on Board	☐ Employee
16	Contractor	☐ Agent	☐ Consultant
eporting Status:	Od Annual	☐ New Appointment (date):	
	☐ Change of Status		
If a change in status, date and	circumstance of cha	nge in status:	
		-	
Please list your job title and de			

Board of Director - Board Member TO VOR OF PULLY and FIRMONS OF LATHERMAN RC

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

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Governing Board Member
☐ Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

2. Do you or a family member work for any entity or organization that is a regional center provider or contractor?

Q yes one of the provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

3. Do you or a family member own or hold a position³ in an entity or organization that is a regional center provider or contractor? yes no - If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

4. Are you a regional center advisory committee board member? ☐ yes ☐ no — If yes, are you a member of the governing board or owner or employee of an entity or organization that provides services to the regional center or regional center consumers? ☐ yes ☐ no — If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers.

5. If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest? yes on - If yes, please explain.

Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, pertner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54628.

		☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member. [Note: Governing board members do not have question if the financial benefit would be available to regional center consumers or their family yes in o — If yes, please explain.	en Americanian suprami (II II. a a. l. a.
7.	Are you responsible for negotiating, making, executing or approving contracts on behalcenter? ves no - If yes, please explain.	f of the regional
	My only involvement in the approval of regional center contracts relates to \$250,000 or more, for which Welfare and Institutions Code section 4625.5 regional center Board approval.	contracts of equires
8.	Do you have a financial interest in any contract with the regional center?	If yes, did you negotiate, If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional certifyes, did you negotiate, make, execute or approve the contract on behalf of the regional certifyes, please explain.	nter?

W Governing Board Member

Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		Governing Board Member U Vendor Advisory on Board Executive Director Employee/Other
10. Do	you evaluate employment applications or contract bids that are submitted by yes 🖾 no If yes, please explain.	your family member(s)?
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hav	ur Job duties require you to act in the best interests of the regional center and we any circumstances or other financial interests not already discussed above the best interests of the regional center or its consumers?	regional center consumers. Do you that would prevent you from acting f yes, please explain.
	····	
В. АП	TESTATION	
regiona Stateme any info center's individu Stateme	Condition Solvin Martine (print name) HEREBY CONFIRM that all center's Conflict of Interest Policy and that my responses to the questions in ent are complete, true, and correct to the best of my information and belief. Commation that might indicate that this statement is not accurate or that I have a Conflict of Interest Policy or the applicable conflict of interest laws, I will not it is immediately. I understand that knowingly providing faise information on ent shall subject me to a civil penalty in an amount up to fifty thousand dollars ions Code section 4626.	I agree that if I become aware of we not complied with the regional fy the regional center's designated this Conflict of Interest Reporting
_	Signature Sondtone S. Martham Date	Jaly 26,200
_	INTERNAL USE ONLY	
-	Date this Statement was received by Reviewer:	
_	The reporting individual does does not have a present pot Signature of Designated Reviewer	
,	orgunators of pesignated treatment	Pate Review Completed

Yudy Mazariegos

State of California-Health and Human Services Agency

Department of Developmental Services

Reset Form

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Yull Ma	THE RESERVE AND ADDRESS OF THE PARTY OF THE	Regional Center:	anterman
Regional Center Position/Title:	Governing Board Vendor Advisory Contractor	Member Committee sitting on Board ☐ Agent	Executive DirectorEmployeeConsultant
Reporting Status:	Annual Change of Status		
If a change in status, date and	l circumstance of char	nge in status:	

^{1.} Please list your job title and describe your job duties at the regional center.

Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		□ Vendor Advisory on Board □ Executive Director □ Employee/Other
2.	Do you or a family member work for any entity or organization that is a regional center product of the provided the name of the entity or organization and describe what so regional center or regional center consumers. If the provider or contractor is a state or local governmental entity and describe your job of governmental entity.	ervices it provides for the ocal governmental entity
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a recontractor? Do yes Do no If yes, provide the name of the entity or organization, or provides for the regional center or regional center consumers, and describe your or your finterest. I am vendored by the regional center to obtain a specific service for my child, is identified in my child's Individual Program Plan. I provide no direct ser the regional center, my child or the regional center's other clients. In turn, In a "parent reimbursement" from the regional center for the specific ser purchase. Accordingly, I have no financial interest as a parent vendor other being reimbursed for the specific service I purchase for my child. arrangement has been reviewed by the regional center's Board of Directors.	describe what services it amily member's financia which vice to receive vice I
4.	Are you a regional center advisory committee board member? \square yes \square no $-$ if yes, a governing board or owner or employee of an entity or organization that provides services regional center consumers? \square yes \square no $-$ if yes, provide the name of the entity or owner services it provides for the regional center or regional center consumers.	to the regional center or
	•	
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center b purchasing services from a regional center provider; or (c) do you vote on matters where you interest? Quest yes, please explain.	oard; (b) do you vote on

AGoverning Board Member

Mazorieges Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, slater-in-laws, son-in-laws and daughter-in-laws. See Galifornia Code of Regulations, little 17, sections 64506(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54620 and 54626.

		☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional centinancially benefit you or a family member ⁴ ? [Note: Governing board members do not have question if the financial benefit would be available to regional center consumers or their family yes no — If yes, please explain.	
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalcenter? \square yes \square no $-$ if yes, please explain.	f of the regional
	My only involvement in the approval of regional center contracts relates to \$250,000 or more, for which Welfare and Institutions Code section 4625.5 regional center Board approval.	contracts of equires
8.	Do you have a financial interest in any contract ⁶ with the regional center?	If yes, did you negotiate, If yes, please explain,
9.	Do any of your family members have a financial interest in any contract with the regional cer if yes, did you negotiate, make, execute or approve the contract on behalf of the regional cer if yes, please explain.	nter? □ yes 20 no nter? □ yes 21 no

Governing Board Member

Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

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For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gein or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

10. Do you evaluate employment applications or contract bids that are submitted by your family member(s)? yes no - If yes, please explain. 11. Your job duties require you to act in the best interests of the regional center and regional center consumers. Do y have any circumstances or other financial interests not already discussed above that would prevent you from acti in the best interests of the regional center or its consumers? yes no - If yes, please explain. No No - If yes, please explain.			Governing Board Member Vendor Advisory on Board Executive Director Employee/Other
B. ATTESTATION ATTESTATION Period Period	10. Do	you evaluate employment applications or contract bids that are submitted by your familyes on — If yes, please explain.	y member(s)?
B. ATTESTATION ATTESTATION Period Period	d d - Ne		
(print name) HEREBY CONFIRM that I have read and understand the regional center's Conflict of Interest Policy and that my responses to the questions in this Conflict of Interest Reporter Statement are complete, true, and correct to the best of my information and belief. I agree that if I become aware a part information that might indicate that this statement is not accurate or that I have not complied with the region center's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the regional center's designate individual immediately. I understand that knowingly providing false information on this Conflict of Interest Reporting Statement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000) pursuant to Welfare an	nav	e any circumstances or other financial interests not already discussed above that would	prevent you from acting
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Signature	any into center's ndividua Stat em e	int shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000)	ict of Interest Reporting
INTERNAL USE ONLY	center's ndividua Stateme nstitutio	ons Code section 4626. Signature Date 71	ict of Interest Reporting pursuant to Welfare and
	any into center's ndividua Stateme nstitutio	Signature Date 7	ict of Interest Reporting pursuant to Welfare and
The reporting individual	any into center's ndividua stateme nstitutio	Signature Date 7 NTERNAL USE ONLY Date this Statement was received by Reviewer:	ict of Interest Reporting pursuant to Welfare and