

ADMINISTRATIVE AFFAIRS COMMITTEE

Frank D. Lanterman Regional Center

Administrative Affairs Committee

September 8, 2020

MINUTES

PRESENT

Mark Higgins, Chair
Jack Gilbertson
Al Marsella
Juan Maldonado
Greg Schaffer
Dr. Tony Stein

STAFF

John Hunt
Kaye Quintero

NOT PRESENT

Marjorie Heller
Melinda Sullivan

CALL TO ORDER

The meeting was called to order at 11:07AM

REVIEW OF MINUTES

The minutes of August 11, 2020 were reviewed and approved by consensus.

CHAIRPERSON'S REPORT

Mr. Higgins had nothing to report.

B-1 AMENDMENT FOR 2020-2021

Ms. Quintero reported the B-1 amendment was received, which gives the Center the majority of their POS and Operations funding for the current fiscal year. Both the Operations and POS allocations in the B-1 are slightly higher than the prior year A-1 allocation, which is reflective of growth and service provider rate increases.

UPCOMING AUDIT

Ms. Quintero reported AGT, the Center's independent audit firm, has started some of their work for the audit of fiscal year 2019-2020. The audit will be completely remotely. The majority of the field work will be completed the week of September 28th. This is AGT's 5th year as the Center's audit firm. The Center will be looking for a new firm for the next audit.

INDEPENDENT AUDITOR-REQUEST FOR PROPOSAL

Ms. Quintero reported the same audit firm may not complete our audit more than five times within a ten year period. We issued a request for proposal (RFP) earlier this month and the deadline for submission is September 25. Ms. Quintero sent the RFP to six firms that we know have completed regional center audits either currently or previously. The RFP is also posted on our website. A recommendation will be brought to this committee in December to select a firm. There have been three responses so far. Discussion followed.

STATE OF EMERGENCY UPDATE

Ms. Quintero reported we are anticipating changes as a result of the expiration of service provider retainer payments. During the state of emergency, service providers were allowed to bill for absences as a result of COVID-19, and these retainer payments expire as of August 31st. Service providers are working on their plans for service delivery, and many may be reimbursed differently if they are only able to provide limited or alternative services.

Ms. Quintero reported the Center submitted an application for CARES Act funding to assist with additional operating costs. The application is pending at this time. DDS has encouraged all regional centers to apply for this assistance. Discussion followed.

REVIEW OF FINANCIAL STATEMENTS

CASH FLOW

Mr. Hunt reported that we are projected to have \$29 million available at end of November.

Mr. Hunt reviewed the financial statements through July 31, 2020.

Fiscal Year to date 2020-2021

In Operations (main contract) we have spent \$1,656,760 (7.2% of budget). In Purchase of services (main contract) we have spent \$16,222,288 before we add late bills. Including projected late bills, we will have spent \$19,122,991 (8.3% of budget).

Fiscal Year 2019-2020 (A-6)

This month we recorded \$138, 616 in OPS and \$2,782,406 in POS. To date, we have spent 95.0% of our OPS and 96.6% of our POS allocations. We are currently projecting a surplus in both POS and OPS.

Fiscal Year 2018-2019 (E-4)

This month we recorded zero in OPS and \$57,784 in POS. To date, we have spent 99.9% of our OPS and 98.4% of our POS allocations. We have a very small surplus in OPS, as well as a small surplus in POS.

NEXT MEETING

The next meeting is scheduled on October 13, 2020.

ADJOURNMENT

The meeting was adjourned at 11:33 A.M.

/gs



**REQUEST FOR PROPOSAL
FOR
INDEPENDENT AUDITOR**

Issued August 17, 2020

Deadline for Submission

September 25, 2020



Request for Proposal for Independent Auditor Issued August 17, 2020

Introduction

Los Angeles County Developmental Services Foundation, Inc. dba Frank D. Lanterman Regional Center (“Lanterman” or “the Center”) is a private, non-profit corporation that operates under contract with California’s Department of Developmental Services. The Center serves approximately 11,000 children and adults with developmental disabilities, who have or are at risk for a developmental delay or disability, and who are at high-risk of parenting an infant with a disability. Frank D. Lanterman Regional Center is one of 21 regional centers in the state of California, which together serve over 360,000 individuals with, or at risk for, developmental disabilities and their families. Lanterman serves residents in the Hollywood-Wilshire, Central Los Angeles, Pasadena, Glendale, Burbank, La Cañada-Flintridge, and La Crescenta areas of Los Angeles County. The Center provides assessment and diagnosis, individualized planning, service coordination linking people with services, assistance in finding and using community services, and purchase of services identified in an individual’s service plan. The Center’s community of service providers includes hundreds of corporations and entities providing over 1,000 services/programs. The Center employs a diverse staff of approximately 245 dedicated individuals who provide services to our clients and their families.

More information regarding the Center can be found online at www.lanterman.org. A copy of Frank D. Lanterman Regional Center’s Audited Financial Statements for the fiscal years ending June 30, 2019 and 2018 is available online in the “Transparency & Accountability” section of the website.

Project Description

According to Welfare and Institutions Code 4639, regional centers shall annually contract with an independent accounting firm for an audit report of its financial statements. The audit report shall be reviewed and approved by the regional center board. Within 60 days of completion, the audit report shall be made available to the public and submitted to DDS. Additionally, the audit shall not be completed by the same accounting firm more than five (5) times in any ten (10) year period.

Frank D. Lanterman Regional Center's fiscal year is July 1st to June 30th. The audit report for the fiscal year ending June 30, 2020 will be the fifth for the present accounting firm. The Center seeks to engage an accounting firm to conduct the audit and provide tax compliance services for the current fiscal year ending June 30, 2021. The Center's Administrative Affairs Committee is responsible for engaging and overseeing the independent auditor's activities in conducting the audit of the Center's financial statements and preparation and filing of federal Form 990, California Form 199, and California Form RRF-1.

Project Requirements

For the fiscal year ending, June 30, 2021, the suggested schedule for the independent audit is as follows:

Entrance conference, planning meeting with Center management team	May-June 2021
Auditors interim fieldwork and compliance testing	July-August 2021
Auditors fieldwork	September 2021
Draft of financial statements, management letter, and tax filing forms	December 2021
Presentation of financial statements, management letter, and tax filing forms at meeting of Administrative Affairs Committee	January 2022
Center Board of Directors acceptance of financial statements and tax filing forms	January 2022
Financial statements and tax filing forms finalized and submitted as appropriate	February 2022

Proposal Guidelines

All proposals should include the following:

- a. A brief history of your company, describing the range of activities performed by your firm, the size and organizational structure of your firm, and your firm's background and experience in auditing regional centers and other nonprofit agencies;
- b. Statement of your firm's understanding of the work to be performed, including tax and non-audit services;

- c. Names of the partner, audit manager, and field staff who will be assigned to our audit, providing a brief resume and/or description of their experience, background, and trainings or certifications required for each;
- d. A proposed timeline for fieldwork and final reporting;
- e. A description of the systems used by your company to share information and track the progress of the work;
- f. References and contact information from at least three (3) comparable non-profit organizations, emphasizing any experience with regional centers. Include organization name, address, description of business, contact person's name, title, telephone number, and email address. Provide copies of audited financial statements;
- g. Contact information for individual(s) to be contacted regarding the proposal;
- h. Proposed fee structure for the completion of the audit for the fiscal year ending June 30, 2021;
- i. Proposed fee structure for tax compliance services for the fiscal year ending June 30, 2021;
- j. Proposed optional pricing for three (3) years of service and estimate of the anticipated costs for a two-year extension, and
- k. Description of your billing rates and procedures for technical questions that may arise during the year, or whether this support is included in the proposed fee structure.

General Information

The initial contract term for this project will be negotiated at the time of contract award.

Should the vendor fail to meet the requirements of the contract, Frank D. Lanterman Regional Center may cancel the contract with a thirty (30) days' notice.

Schedule

RFP issued	August 17, 2020
Proposals due	September 25, 2020
Selection of proposals	October 9, 2020
Meetings to present proposals	October 12-30, 2020
<i>Submit to Administrative Affairs Committee for Approval</i>	
Planned contract award date	November 18, 2020
Anticipated commencement date	May 1, 2021

Please direct any questions about this RFP to

Kaye Quintero
Associate Director, Administrative Services
kquintero@lanterman.org

Please submit your proposal, including all supporting documentation, by Friday, September 25, 2020, via email to

Kaye Quintero
Associate Director, Administrative Services
kquintero@lanterman.org

or mail a hard copy to

Frank D. Lanterman Regional Center
ATTN: Kaye Quintero, Associate Director, Administrative Services
3303 Wilshire Blvd., Suite 700
Los Angeles, CA 90010

DEPARTMENT OF DEVELOPMENTAL SERVICES

1600 NINTH STREET, MS 3-18

SACRAMENTO, CA 95814

TTY 654-2054 (For the Hearing Impaired)

(916) 653-0743



Date:

**Attn: Regional Center Director
Contracting Agency President
Regional Center Administrator**

Contract Number: RC Contract, Amendment B-1

Dear Contractor:

Please email a copy of the signed STD 213A to karen.russ@dds.ca.gov.

While the Department of General Services and the State Controller's Office are involved in our contract process and accepting electronic signatures at this time, the requirement of receiving wet signatures remains. This means you are not relieved of the requirement to provide **two** wet signature documents, signed in blue ink, and returned to DDS at your earliest convenience.

**Department of Developmental Services
Contracts Management Unit
Attention: Karen Russ
1600 Ninth Street, Room 300, MS 3-18
Sacramento, CA 95814**

Sincerely,



Karen Russ
Contract Analyst

Enclosures

"Building Partnerships, Supporting Choices"

**FY 2020-21 Through B-1 Contract Budget Summary
Attachment A (formerly Exhibit A)**

Contracting Agency: Los Angeles County Developmental Services Foundation, Inc.
(aka Frank D. Lanterman Regional Center)

Contract Number
HD199010
August 7, 2020

TOTAL OPERATIONS (Ops) which include the line items listed below: **\$25,679,731**
Less Separately Claimed Items: **-316,747**

Total Ops Regular Monthly Claim Authority: **\$25,362,984**

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:

Mental Health Services Fund	0
Disparities	0
DC Closure Ongoing Workload	165,147
Total Regular CPP/CRDP	151,600

TOTAL PURCHASE OF SERVICES (POS) which include the line items listed below: **\$231,743,228**
Less Separately Claimed Items **-\$3,837,197**

Total POS Regular Monthly Claim Authority **\$227,906,031**

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:

^{c/} Part C	3,085,221
HCBSW Compliance	0
Grand Total Community Placement Plan (CPP), Regular	751,976
<i>Start-Up (CPP/CRDP)</i>	0
<i>Safety Net Start-Up</i>	0
<i>Assessment</i>	0
<i>Placement</i>	751,976

Total Early Intervention Program, Family Resource Centers/Network **\$105,438**

Total Family Resource Services **\$50,263**

TOTAL BUDGET **\$257,578,660**

^{a/} FGP: Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No.19SFPCA002, 06 30 2020 is the last year of the three year grant.

^{b/} Senior Companion (GF) CFDA # 94.016, Program Title: Senior Companion Program, Award No.19SCPCA002, 06 30 2020 is the last year of the three year grant.

^{c/} Part C: CFDA Title: Infant and Toddler/Families (Part C) Program Title: Special Education-Grants for Infants and Families with Disabilities

CFDA Number: 84.181A, Award No: H181A190037. Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education

Percent of Part C to Total Contract Funding: 1.20%

Exhibit II
Explanation of Items for 2020-21 Regional Center B-1 Amendment

Operations (OPS)

Core Staffing: Allocated by utilizing updated budgeted caseload and other statistics (i.e. number of consumers in a CCF, Waiver, Early Start, Developmental Centers, FCPP, Rep Payee, etc.) as applied to the core staffing formula.

Operating Expenses: Allocation equals the prior year allocation plus the incremental increase in operating expense funds prorated based on each regional center's projected caseload.

Facility Rent: Allocation of rent and utilities based on Attachment C of the 2020 May Revision, and per discussions with the regional centers.

Enhanced Caseload Ratio 1:45 for Two Years: Additional funds for DC movers allocated based on each regional center's number of placements in fiscal year 2019-20.

Staffing for Collection of FFP for Contracted Services: Funds allocated for one Community Program Specialist I and one Account Clerk II position for each regional center.

Unallocated Reductions/Savings Target

Intake and Assessment: 60 to 120 Days: -\$4,465,000 Allocation based on each regional center's pro-rata share of Monthly Intake 3yrs & over per the 2020 May Revise Spring Survey, Exhibit A-1 & A-2.

FY 2001-02 Prior Unallocated Reduction: -\$10,559,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2004-05 Cost Containment: -\$5,968,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2009-10 Savings Target 23 Eliminate Triennial Quality Assurance Review: -\$1,500,000 Allocation based on each regional center's pro-rata share of projected consumer counts of CCF and CPP Consumers for 2020-21.

FY 2009-10 Savings Target 24 Reduction of One-Time Funding: -\$3,500,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2009-10 Savings Target 25 (i) One-Time RC Funding: -\$1,500,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2009-10 Savings Target 25 (ii) Early Start Intake & Assessment: -\$5,500,000 Allocation based on each regional center's pro-rata share of projected High Risk Caseload consumers by regional center for 2020-21.

Exhibit II
Explanation of Items for 2020-21 Regional Center B-1 Amendment

Operations continued

FY 2011-12 March Cost Containment Measures: -\$3,486,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2011-12 \$174m Unallocated Reduction: -\$5,400,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

Federal Compliance

HCBS Waiver: Allocation based on the actual HCBS Waiver consumer months of enrollment from July 2019 to June 2020.

HCBS Waiver Compliance: Allocation based on the actual HCBS Waiver consumer months of enrollment July 2019 to June 2020. (Excludes OE&E, which is allocated separately).

Increase in Case Managers to Meet HCBS Waiver Requirements: Allocation based on the actual HCBS Waiver consumer months of enrollment for July 2019 to June 2020. (Excludes OE&E, which is allocated separately).

Targeted Case Management: Allocation based on the total actual billable units by regional center, from November 2019 through April 2020.

Nursing Home Reform: Allocation based on the total actual expenditures from July 2019 through June-2020.

Federal Medicaid Requirements for Regional Centers to Vendor Providers of HCBS Services: Allocation based on active vendors for 2019-20 by regional center of \$100k or less and excluding service codes 065, 400, 655, and 660.

Projects

IT System Hardware and Software Maintenance: Funds allocated to regional centers for computer system hardware and software maintenance.

Foster Grandparents/Senior Companion Program: Allocation based on volunteer service years as agreed upon with regional centers.

Increased Access to Mental Health Services: Allocation based on approved Mental Health Service Fund projects.

Sherry S. Court Case: Allocation based on May 2020 Regional Center Survey reported for hop/conservatorship.

Miscellaneous: Funds allocated per agreement with specific regional centers.

Exhibit II
Explanation of Items for 2020-21 Regional Center B-1 Amendment

Operations continued

Policy Items

Psychological Evaluations for Behavioral Health Treatment (BHT)

Fee-for-Service Consumers: Allocation based on each regional center's pro-rata share of Fee for Service consumers under the age of 21 who are being served in the community with or without an Autism Spectrum Disorder diagnosis.

Specialized Caseload Ratio

Allocated one position per each Regional Center; the balance based on each RC's pro-rata share of consumers in Status 2 as of the June 2020 Client Master File (CMF) report.

Oversight and Accountability

Each regional center will receive an allocation sufficient for one position at \$114,000 and the remainder will be allocated on a pro rata basis based on the June 2020 client master file caseload for both Status 1 and Status 2.

AB2083 Trauma Informed Services for Foster Youth

Allocated based on counties and dependents served.

Total Positions Requested: 15.0 Senior/Supervising Service Coordinators

The seven LA County regional centers will receive a combined 5.0 positions.

Any non-LA County regional center with more than 3% of the total population* will receive 1.0 position.

Any non-LA County regional center with less than 3% of the total population* will receive 0.5 position.

**Population based on Individuals under age 18 who are coded in the CMF as Legal Status 5-Court Dependent.*

Family Home Agency Oversight

Allocation based on the survey of regional centers (RC) regarding their projected expansion efforts.

Self Determination Program

- Allocation based on the amount available for 2020-21: \$4M. The full year amount is prorated based on Participant rate \$816 and number of Participants two thousand five hundred (2500).

Exhibit II
Explanation of Items for 2020-21 Regional Center B-1 Amendment

- The Department of Development Services (Department,) consistent with statute [Welfare & Institutions Code §4685.8(g)] and in conjunction with stakeholders, has determined that this allocation is for the following two purposes:
 1. Participant Supports: \$2,040,000 in 2020-21 in collaboration with the local volunteer advisory committees, to assist selected participants in their transition into the SDP. This funding allocation was proportionate to participants at each RC. Additional details on this portion of funding will be communicated in a letter forthcoming from the Department.
 2. RC Operations/Salary: \$2,032,989 in 2020-21 to offset the costs to the RC in implementing the SDP. Allocation amount was divided equally between all 21 RCs.

Community Placement Program (CPP)/Community Resource Development Plan (CRDP) and Developmental Center Closure Ongoing Workload

Allocation based on the initial 2020-21 OPS allocation will be based on last years approved plan for the first 6 months of this FY and then right-sized once plans are submitted and approved in December.

FRC

Family Resource Centers/Networks: Funds allocated for family resource center/network services to implement Part C.

FRS

Family Resource Services: Funds allocated to provide services for infants and toddlers with developmental delays, disabilities, or conditions specified in GC 95001.

EXPLANATION OF ITEMS FOR FY 2020-21 B-1 AMENDMENT

Exhibit II

Purchase of Services (POS)

Base Allocation: Allocation based on each regional center's pro rata share of:
(1) Non-CPP POS claims July 2019 through May 2020 and the first and second Supplemental Claims for prior year 2018-19; and (2) ICF-DD SPA paid claims July 2019 through July 2020.

Continuation Allocation: Allocation based on each regional center's pro rata share of prior year placements from July 2019 through June 2020.

Policy Items

Early Start Co-Pays

Allocation based on each regional center's pro-rata share of prior year 2019-20 State Claims data for July 2019-April 2020 for early start consumers, per job data run SR131777.

ABX2 1 Community Based Services Increases, Competitive Integrated Employment (CIE) Incentive Payments and Paid Internship Program (PIP):

Allocation based on each regional center's pro-rata share of prior year 2019-20 State Claims data for July 2019-February 2020.

Community Placement Program (CPP)/Community Resource Development Plan (CRDP)

Allocation based on Department-approved regional center proposals.

SB83 Minimum Wage Effective 1/1/2020

Twelve (12) month impact Allocation based on prior year 2019-20 State Claims data for January-April 2020.

SB83 Minimum Wage Effective 1/1/2021

Six (6) month impact Allocation based on prior year 2019-20 State Claims data for January-April 2020.

Department of Developmental Services, Budget Section, RC Allocation Unit

EXHIBIT III Explanation of Items in Allocation

Regional Center: Los Angeles County Developmental Services Foundation, Inc.

EXHIBIT III

(aka Frank D. Lanterman)

2020-21 Regional Centers

	<u>Operations</u>	<u>Purchase Of Services</u>	<u>Early Intervention Program</u>	<u>Family Resource Services</u>
Grand Total of Previous Contract (I):	\$20,341,931	\$171,333,828	\$0	\$0
B-Prelim Base (display only)	\$18,057,268	\$171,333,828	**	**
B-Prelim Policy Items (display only)	\$2,284,663	\$0	**	**

This Amendment (B-1):

Operations Allocation (Ops):

Core Staffing Personal Services	\$18,117,000
Operating Expenses & Equipment	1,591,626
Facility Rent and allowable Utilities & Maintenance	2,604,048
Enhanced Caseload Ratio 1:45 for Two Years	3,070
Staffing for Collection of FFP for Contracted Services	84,343
Intake and Assessment: 60 to 120 Days	-33,694
FY 2001-02 and prior year's Unallocated Reductions	-329,228
FY 2004-05 Cost Containment	-186,081
FY 2009-10 Savings Target #23 Eliminate QA Review	-37,843
FY 2009-10 Savings Target # 24 Reduction of 1-Time RC Funding	-109,129
FY 2009-10 Savings Target # 25(i) Add'l RC Funding Ops Savings	-46,770
FY 2009-10 Savings Target # 25(ii) E/S Intake and Assessment	-207,948
FY 2011-12 Cost Containment Measures	-108,693
FY 2011-12 \$174m Unallocated Reduction	-168,371
HCBS Waiver Operations Cost	575,521
HCBS Waiver Compliance Funding	220,977
Increase in Case Managers to Meet HCBS Waiver Requirements	324,141
Targeted Case Management	106,849
Nursing Home Reform	12,340
Federal Medicaid Requirement for RC HCBS Services	43,311
IT System Hardware and Software	5,611
Foster Grandparent/Sr. Companion Program	0
** intentionally left blank	*
Increased Access to Mental Health Services (MHSF)	0
Sherry S Court Case	0
Miscellaneous	0
Ops Policy Items:	
Psych Evaluation for BHT FFS Consumers	40,632
Specialized Caseload Ratio Service Coordinator	142,341
Oversight & Accountability	176,711
Trauma-Informed Services for Foster Youth	24,085
Family Home Agency Oversight	77,095
Self-Determination Program - Participant Supports	59,568
Self-Determination Program - RC Salary	96,809

Early Intervention Program (EIP) Family Resource Centers/Networks:

105,438

Family Resource Services (Formerly Prevention Program)

50,263

Purchase of Services Allocation (POS):

POS Base	226,390,349
Continuation	2,156,842

POS Policy Items:

Early Start Co-Pays	36,487
ABX2 1 Competitive, Integrated Employment Incentives	76,818
SB3 Min Wage Increase Effective Jan 1 2020	1,472,644
SB3 Min Wage Increase Effective Jan 1 2021	858,112

Operations CPP Items:

Regular CPP/CRDP	151,600
Regular CPP/CRDP Integrated Project for Harbor	0

Non-CPP, "CPP-Related" Items:

DC Closure / Ongoing Workload	165,147
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Purchase of Services CPP/CRDP Items:

Placement	751,976
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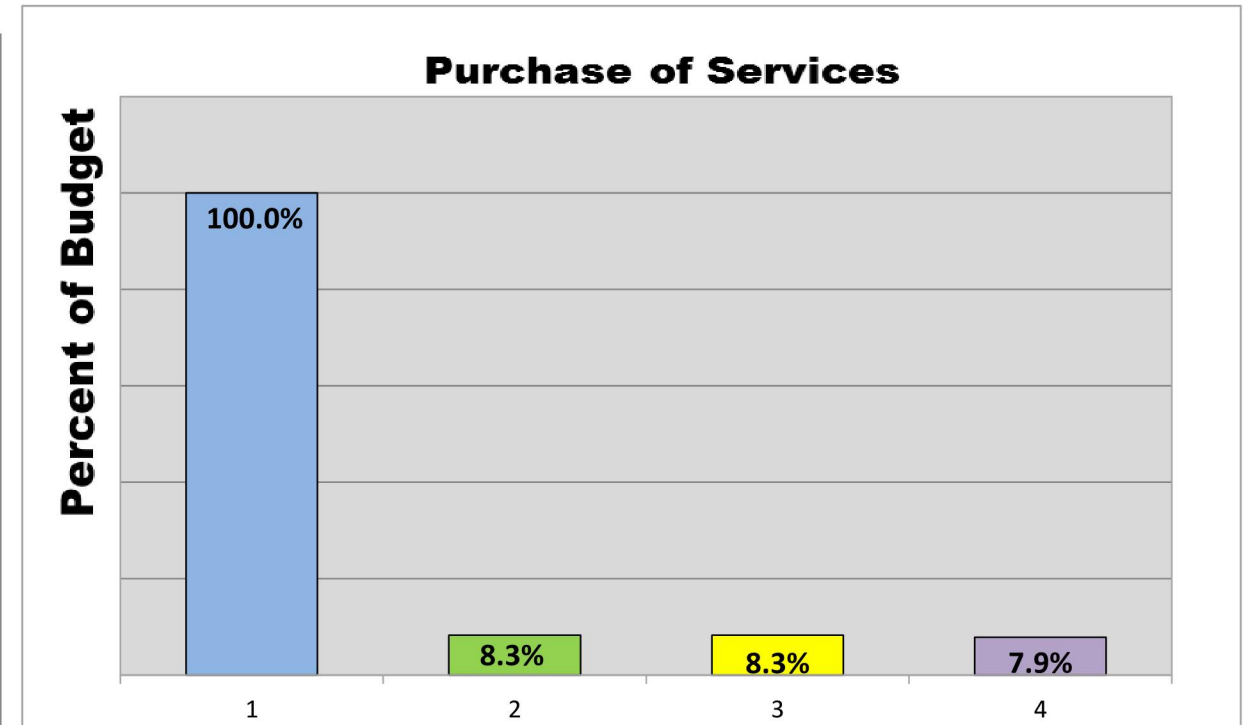
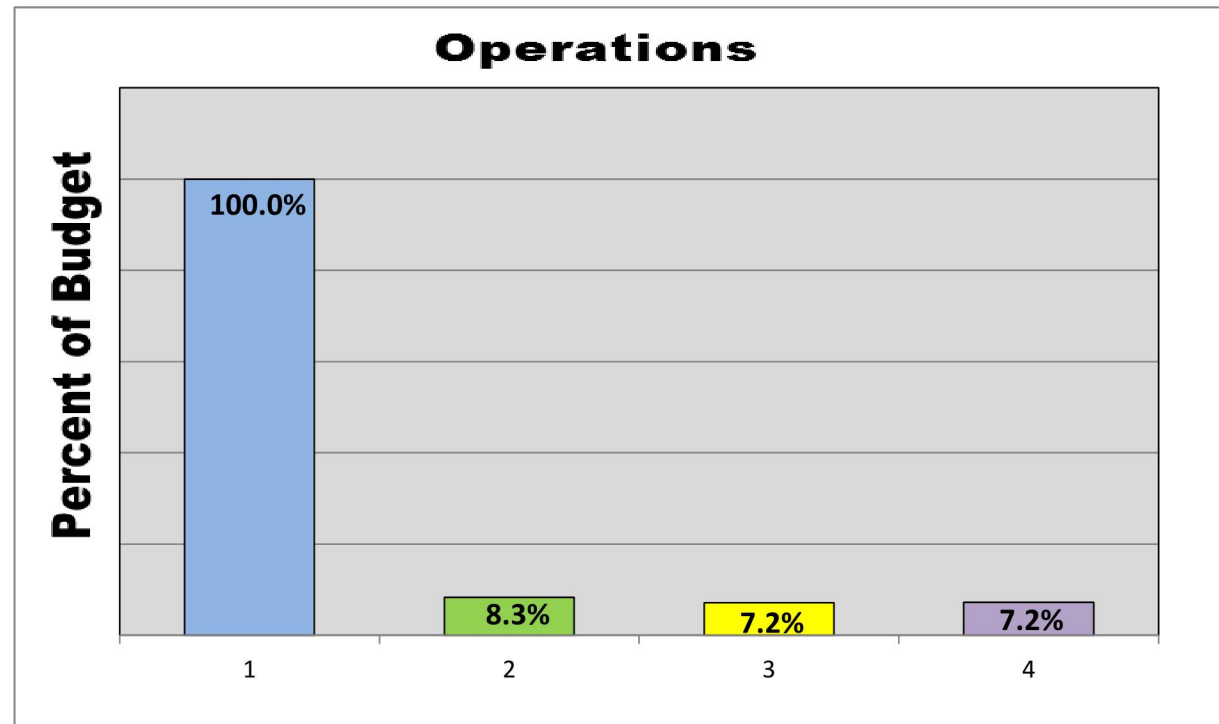
Less Preliminary Contract Base only	-18,057,268	-171,333,828	0	0
Total B-1 Amendment	\$5,337,800	\$60,409,400	\$105,438	\$50,263
Total B-1 Contract	\$25,679,731	\$231,743,228	105,438	\$50,263
Grand Total Contract	\$257,578,660			

FRANK D. LANTERMAN REGIONAL CENTER
PROJECTED CASH FLOW ANALYSIS
AUGUST 1, 2020 - OCTOBER 31, 2020

	DEPOSIT (PAYMENT)	BALANCE
09/01 BEGINNING BALANCE		18,103,461
09/02 PAYROLL	(735,000)	17,368,461
09/04 OPS	(550,000)	16,818,461
09/04 STATE CLAIM REIMB - JULY	20,000,000	36,818,461
09/10 POS	(17,500,000)	19,318,461
09/16 PAYROLL	(740,000)	18,578,461
09/17 OPS	(500,000)	18,078,461
09/18 POS	(2,750,000)	15,328,461
09/30 PAYROLL	(740,000)	14,588,461
10/05 OPS	(550,000)	14,038,461
10/08 STATE CLAIM REIMB - MAY & JUNE (10%)	3,800,000	17,838,461
10/09 STATE CLAIM REIMB - AUGUST	19,000,000	36,838,461
10/09 CASH ADVANCE B-1 AMENDMENT	16,282,539	53,121,000
10/10 POS	(17,500,000)	35,621,000
10/14 PAYROLL	(740,000)	34,881,000
10/19 OPS	(500,000)	34,381,000
10/19 POS	(2,500,000)	31,881,000
10/28 PAYROLL	(740,000)	31,141,000
11/03 OPS	(500,000)	30,641,000
11/06 STATE CLAIM REIMB SEPTEMBER	20,000,000	50,641,000
11/11 PAYROLL	(730,000)	49,911,000
11/10 POS	(17,100,000)	32,811,000
11/18 POS	(2,500,000)	30,311,000
11/17 OPS	(500,000)	29,811,000
11/25 PAYROLL	(730,000)	29,081,000
11/30 ENDING BALANCE		29,081,000

**FRANK D. LANTERMAN REGIONAL CENTER
FINANCIAL STATEMENT SUMMARY - MAIN CONTRACT
ACTIVITY THROUGH JULY 31, 2019**

Fiscal Year-To-Date 2020-2021 (B-1)



	1 Current Year Annual Budget (B-1)	2 Current Year 1 Month Budget (B-1)	3 Current Year Actual 1 Month YTD	4 Prior Year Actual 1 Month YTD (A-6)
OPS				
Actual	\$23,035,010	\$1,919,584	\$1,656,760	\$1,760,894
	100.0%	8.3%	7.2%	7.2%

	1 Current Year Annual Budget (B-1)	2 Current Year 1 Month Budget (B-1)	3 Current Year Actual 1 Month YTD	4 Prior Year Actual 1 Month YTD (A-6)
POS				
Actual	\$230,991,252	\$19,249,271	\$16,222,288	\$14,473,217
Projected Late Bills			\$2,900,703	\$2,221,060
Adjusted Total	\$230,991,252	\$19,249,271	\$19,122,991	\$16,694,277
	100.0%	8.3%	8.3%	7.9%

Fiscal Year 2019-2020 (A-6)

This month we recorded \$138,616 in OPS and \$2,782,406 in POS. To date, we have spent 95.0% of our OPS and 96.6% of our POS allocations. We are currently projecting a surplus in both OPS and POS.

Fiscal Year 2018-2019 (E-4)

This month we recorded zero in OPS and \$57,784 in POS. To date, we have spent 99.9% of our OPS and 98.4% our POS allocations. We have a very small surplus in OPS, as well as a small surplus in POS.

FRANK D. LANTERMAN REGIONAL CENTER
NOTES TO FINANCIAL STATEMENTS
FOR THE 1 SERVICE MONTHS ENDED JULY 31, 2020

2020-2021

INVESTMENT SUMMARY

As of July 31, approximately \$23.4 million was maintained in our business checking account with City National Bank. Interest earnings of \$3,366 was reported for the month.

Page 2 OPERATIONS

Line 76 – Unemployment Insurance negative balance is the result of a prior period adjustment.

Line 101- General Expenses negative balance is the result of a prior period adjustment.

Page 3 PURCHASE OF SERVICES

***Note:** There were 23 service days in July compared to 22 service days in June. Our active caseload increased by 23, from 10,912 to 10,935 clients.*

Page 4 COMMUNITY PLACEMENT PLAN (CPP)

***Note:** There were no placements into the community in July.*

2019-2020

Line 39 - OPERATIONS includes routine payments for June invoices received and paid in July.

Line 40 - PURCHASE OF SERVICES includes payments for June services (late billings) on invoices received in July. The majority is comprised of out of home placements, in-home respite and supported living services and personal assistance.

2018-2019

Line 40 – PURCHASE OF SERVICES includes payments for late billings on invoices received in July. The majority is comprised of community integration training and in-home respite services.

FRANK D. LANTERMAN REGIONAL CENTER
ANALYSIS OF REVENUE AND EXPENDITURES
FISCAL YEAR 2020 - 2021
FOR THE 1 SERVICE MONTH ENDED JULY 31, 2020

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DESCRIPTION	APPROVED BUDGET		EXPENDITURES						
	2020-21 B 1 (1)	2019-20 A 6 (2)	THIS MONTH * (3)	Y-T-D 2020-21 (4)	Y-T-D 2019-20 (5)	PERCENT 2020-21 B 1 (6)	PERCENT 2019-20 A 6 (7)	PERCENT 2018-19 E 4 (8)	2020-21 BUDGET AVAILABLE (9)
OPERATIONS - MAIN CONTRACT									
PERSONAL SERVICES	0	19,250,502	1,365,713	1,365,713	1,423,148		7.4%	7.5%	
OPERATING EXPENSES	0	5,223,902	295,427	295,427	345,445		6.6%	7.0%	
TOTAL EXPENSES:	0	24,474,404	1,661,140	1,661,140	1,768,593		7.2%	7.4%	
LESS: INTEREST INCOME	0	(75,000)	(3,366)	(3,366)	(4,586)		6.1%	5.8%	
LESS: OTHER INCOME	0	(18,000)	(1,013)	(1,013)	(3,113)		17.3%	17.2%	
TOTAL INTEREST & OTHER INCOME :	0	(93,000)	(4,380)	(4,380)	(7,699)		8.3%	8.8%	
	23,035,010	24,381,404	1,656,761	1,656,761	1,760,894	7.2%	7.2%	7.4%	21,378,249
PURCH OF SERVICES - MAIN CONTRACT									
OUT-OF-HOME	0	55,363,050	5,213,831	5,213,831	4,195,957		7.6%	8.0%	
DAY PROGRAM	0	30,674,804	2,076,240	2,076,240	2,258,431		7.4%	7.4%	
OTHER SERVICES	0	122,996,028	8,999,325	8,999,325	8,125,559		6.6%	6.5%	
TOTAL POS BEFORE ADJUSTMENTS:	0	209,033,882	16,289,396	16,289,396	14,579,947		7.0%	7.0%	
LESS: ICF SPA PROGRAM	0	(1,178,612)	(67,108)	(67,108)	(106,730)		9.1%	7.8%	
BUDGET ALLOCATION SURPLUS/(DEFICIT)	0	4,420,663	0	--			--	--	
TOTAL ADJUSTMENTS:	0	3,242,051	(67,108)	(67,108)	(106,730)		-3.3%	4.0%	
TOTAL PURCHASE OF SERVICES:	230,991,252	212,275,933	16,222,288	16,222,288	14,473,217	7.0%	6.8%	6.9%	214,768,964
COMMUNITY PLACEMENT PLAN									
PERSONAL SERVICES	0	632,424	53,621	53,621	59,023		9.3%	8.5%	
OPERATING EXPENSES	0	70,900	2,266	2,266	2,408		3.4%	3.4%	
TOTAL OPERATIONS:	0	703,324	55,887	55,887	61,431		8.7%	8.0%	
PURCHASE OF SERVICES	751,976	2,526,018	11,541	11,541	6,368	1.5%	0.3%	0.0%	
TOTAL CPP :	751,976	3,229,342	67,428	67,428	67,799	9.0%	2.1%	2.4%	684,548
FAMILY RESOURCE CENTER									
TOTAL FRC EXPENSES:	0	155,701	12,927	12,927	12,310		7.9%	7.8%	
GRAND TOTAL :	254,778,238	240,042,380	17,959,404	17,959,404	16,314,220	7.0%	6.8%	6.9%	236,818,834
RECAP OF TOTAL CONTRACT									
OPERATIONS	23,035,010	25,240,429	1,725,575	1,725,575	1,834,635	7.5%	7.3%	7.4%	21,309,435
PURCHASE OF SERVICES	231,743,228	214,801,951	16,233,829	16,233,829	14,479,585	7.0%	6.7%	6.9%	215,509,399
TOTAL CONTRACT:	254,778,238	240,042,380	17,959,404	17,959,404	16,314,220	7.0%	6.8%	6.9%	236,818,834

* Column (3) represents payments made in the month following the last service months.

FRANK D. LANTERMAN REGIONAL CENTER
OPERATIONS - MAIN CONTRACT FOR THE 1 SERVICE MONTH ENDED JULY 31, 2020

BUDGET CATEGORY		BUDGET (B 1)	JUL 2020	AUG 2020	SEP 2020	OCT 2020	NOV 2020	DEC 2020	JAN 2021	FEB 2021	MAR 2021	APR 2021	MAY 2021	JUN 2021	TOTAL EXPENDED	PERCENT EXPENDED	BALANCE OF BUDGET
PERSONAL SERVICES																	
1	SALARIES & WAGES	0	1,123,245	0	0	0	0	0	0	0	0	0	0	0	1,123,245		
2	TEMPORARY HELP	0	20,812	0	0	0	0	0	0	0	0	0	0	0	20,812		
3	CONTRACT SERVICES	0	22,078	0	0	0	0	0	0	0	0	0	0	0	22,078		
4	SUB-TOTAL:	0	1,166,136	0	0	0	0	0	0	0	0	0	0	0	1,166,136		
BENEFITS																	
6	RETIREMENT/PENSION	0	125,240	0	0	0	0	0	0	0	0	0	0	0	125,240		
7	MEDICARE TAX	0	16,268	0	0	0	0	0	0	0	0	0	0	0	16,268		
8	HEALTH BENEFITS	0	32,623	0	0	0	0	0	0	0	0	0	0	0	32,623		
9	WORKERS' COMPENSATION	0	8,633	0	0	0	0	0	0	0	0	0	0	0	8,633		
10	UNEMPLOYMENT INSURANCE SUI	0	(243)	0	0	0	0	0	0	0	0	0	0	0	(243)		
11	NON-INDUSTRIAL DISABILITY	0	10,180	0	0	0	0	0	0	0	0	0	0	0	10,180		
12	LIFE INSURANCE	0	6,878	0	0	0	0	0	0	0	0	0	0	0	6,878		
13	SUBTOTAL:	0	199,578	0	0	0	0	0	0	0	0	0	0	0	199,578		
TOTAL PERSONAL SERVICES:		0	1,365,713	0	0	0	0	0	0	0	0	0	0	0	1,365,713		
OPERATING EXPENSES																	
17	EQUIPMENT MAINTENANCE	0	3,328	0	0	0	0	0	0	0	0	0	0	0	3,328		
18	FACILITY RENT	0	201,458	0	0	0	0	0	0	0	0	0	0	0	201,458		
19	FACILITY MAINTENANCE	0	1,309	0	0	0	0	0	0	0	0	0	0	0	1,309		
20	COMMUNICATION	0	23,553	0	0	0	0	0	0	0	0	0	0	0	23,553		
21	GENERAL OFFICE EXPENSES	0	1,253	0	0	0	0	0	0	0	0	0	0	0	1,253		
22	PRINTING	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
23	INSURANCE	0	16,197	0	0	0	0	0	0	0	0	0	0	0	16,197		
24	DATA PROCESSING	0	10,504	0	0	0	0	0	0	0	0	0	0	0	10,504		
25	DATA PROCESSING MAINTENANCE	0	23,248	0	0	0	0	0	0	0	0	0	0	0	23,248		
26	INTEREST EXPENSE	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
27	BANK FEES	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
28	LEGAL FEES	0	137	0	0	0	0	0	0	0	0	0	0	0	137		
29	BOARD AND COMMITTEE EXPENSES	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
30	ACCOUNTING FEES	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
31	EQUIPMENT PURCHASES	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
32	CONSULTING/TRAINING	0	8,000	0	0	0	0	0	0	0	0	0	0	0	8,000		
33	TRAVEL	0	1,639	0	0	0	0	0	0	0	0	0	0	0	1,639		
34	ARCA DUES	0	5,699	0	0	0	0	0	0	0	0	0	0	0	5,699		
35	GENERAL EXPENSES	0	(898)	0	0	0	0	0	0	0	0	0	0	0	(898)		
36	PRIOR YEAR CLAIMS	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
37	OTHER	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
38	TOTAL OPERATING EXPENSES:	0	295,427	0	0	0	0	0	0	0	0	0	0	0	295,427		
39	TOTAL EXPENSES:	23,035,010	1,661,140	0	0	0	0	0	0	0	0	0	0	0	1,661,140	7.2%	21,373,870
42	LESS: INTEREST INCOME	0	(3,366)	0	0	0	0	0	0	0	0	0	0	0	(3,366)		
43	LESS: OTHER INCOME	0	(1,013)	0	0	0	0	0	0	0	0	0	0	0	(1,013)		
44	TOTAL INTEREST & OTHER INCOME:	0	(4,380)	0	0	0	0	0	0	0	0	0	0	0	(4,380)		
46	NET OPERATIONS:	23,035,010	1,656,761	0	0	0	0	0	0	0	0	0	0	0	1,656,761	7.2%	21,378,249

FRANK D. LANTERMAN REGIONAL CENTER
PURCHASE OF SERVICES - MAIN CONTRACT FOR THE 1 SERVICE MONTH ENDED JULY 31, 2020

	BUDGET CATEGORY	BUDGET (B 1)	JUL 2020	AUG 2020	SEP 2020	OCT 2020	NOV 2020	DEC 2020	JAN 2021	FEB 2021	MAR 2021	APR 2021	MAY 2021	JUN 2021	TOTAL EXPENDED	PERCENT EXPENDED	BALANCE OF BUDGET
	NO. OF SERVICE DAYS		23	21	21	22	20	22	20	20	23	22	20	22			
	OUT-OF-HOME																
1	COMMUNITY CARE FACILITY	0	5,072,341	0	0	0	0	0	0	0	0	0	0	0	5,072,341		
2	ICF/SNF FACILITY	0	141,490	0	0	0	0	0	0	0	0	0	0	0	141,490		
3	TOTAL OUT-OF-HOME:	0	5,213,831	0	0	0	0	0	0	0	0	0	0	0	5,213,831		
4	DAY PROGRAMS																
5	DAY CARE	0	48,500	0	0	0	0	0	0	0	0	0	0	0	48,500		
6	DAY TRAINING	0	1,924,049	0	0	0	0	0	0	0	0	0	0	0	1,924,049		
7	SUPPORTED EMPLOYMENT (SEP)	0	76,584	0	0	0	0	0	0	0	0	0	0	0	76,584		
8	WORK ACTIVITY PROGRAM (WAP)	0	27,107	0	0	0	0	0	0	0	0	0	0	0	27,107		
9	TOTAL DAY PROGRAMS:	0	2,076,240	0	0	0	0	0	0	0	0	0	0	0	2,076,240		
10	OTHER SERVICES																
11	NON-MEDICAL-PROFESSIONAL	0	617,883	0	0	0	0	0	0	0	0	0	0	0	617,883		
12	NON-MEDICAL-PROGRAM	0	1,515,679	0	0	0	0	0	0	0	0	0	0	0	1,515,679		
13	HOME CARE SERVICES	0	233,934	0	0	0	0	0	0	0	0	0	0	0	233,934		
14	TRANSPORTATION	0	199,183	0	0	0	0	0	0	0	0	0	0	0	199,183		
15	PREVENTION	0	1,183,473	0	0	0	0	0	0	0	0	0	0	0	1,183,473		
16	OTHER AUTHORIZED	0	2,575,467	0	0	0	0	0	0	0	0	0	0	0	2,575,467		
17	P&I EXPENSES	0	9,348	0	0	0	0	0	0	0	0	0	0	0	9,348		
18	HOSPITAL CARE	0	181,347	0	0	0	0	0	0	0	0	0	0	0	181,347		
19	MEDICAL EQUIPMENT	0	3,261	0	0	0	0	0	0	0	0	0	0	0	3,261		
20	MEDICAL CARE-PROFESSIONAL	0	282,986	0	0	0	0	0	0	0	0	0	0	0	282,986		
21	MEDICAL CARE-PROGRAM	0	38,947	0	0	0	0	0	0	0	0	0	0	0	38,947		
22	RESPIRE-IN-HOME	0	2,156,952	0	0	0	0	0	0	0	0	0	0	0	2,156,952		
23	RESPIRE-OUT-OF-HOME	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
24	CAMPS	0	865	0	0	0	0	0	0	0	0	0	0	0	865		
25	TOTAL OTHER SERVICES:	0	8,999,325	0	0	0	0	0	0	0	0	0	0	0	8,999,325		
26																	
27	TOTAL POS BEFORE ADJUSTMENTS:	0	16,289,396	0	0	0	0	0	0	0	0	0	0	0	16,289,396		
28	ADJUSTMENTS																
29	LESS: ICF SPA PROGRAM	0	(67,108)	0	0	0	0	0	0	0	0	0	0	0	(67,108)		
30	PLUS: BUDGET ALLOCATION SURPLUS	0													0		
31	TOTAL ADJUSTMENTS:	0	(67,108)	0	0	0	0	0	0	0	0	0	0	0	(67,108)		
32																	
33																	
34	TOTAL PURCHASE OF SERVICES:	230,991,252	16,222,288	0	0	0	0	0	0	0	0	0	0	0	16,222,288	7.0%	214,768,964
35	ACTIVE CASELOAD:		10,935	0	0	0	0	0	0	0	0	0	0	0			
36	CHANGE FROM PRIOR MONTH:		+23	0	-	0	0	0	-	0	0	0	0	0	0	3.6%	

**FRANK D. LANTERMAN REGIONAL CENTER
COMMUNITY PLACEMENT PLAN (CPP) FOR THE 1 SERVICE MONTH ENDED JULY 31, 2020**

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BUDGET CATEGORY		BUDGET (B 1)	JUL 2020	AUG 2020	SEP 2020	OCT 2020	NOV 2020	DEC 2020	JAN 2021	FEB 2021	MAR 2021	APR 2021	MAY 2021	JUN 2021	TOTAL EXPENDED	PERCENT EXPENDED	BALANCE OF BUDGET
PERSONAL SERVICES																	
1	STAFFING - CPP	0	26,711	0	0	0	0	0	0	0	0	0	0	0	26,711		(26,711)
2	PERSONAL SERVICES - CPP	0	26,711	0	0	0	0	0	0	0	0	0	0	0	26,711		(26,711)
3																	
4	STAFFING - LDC	0	26,910	0	0	0	0	0	0	0	0	0	0		26,910		(26,910)
5	CONTRACT SERVICES - LDC	0	0	0	0	0	0	0	0	0	0	0			0		0
6	PERSONAL SERVICES - LDC	0	26,910	0	0	0	0	0	0	0	0	0	0	0	26,910		(26,910)
7																	
8	TOTAL PERSONAL SERVICES	0	53,621	0	0	0	0	0	0	0	0	0	0	0	53,621		(53,621)
9	OPERATING EXPENSES																
	CONSULTING/TRAINING - CPP	0							0	0	0	0	0		0		0
10	GENERAL EXPENSES - CPP	0	1,133	0	0	0	0	0	0	0	0	0	0	0	1,133		(1,133)
11	OPERATING EXPENSES - CPP	0	1,133	0	0	0	0	0	0	0	0	0	0	0	1,133		(1,133)
12																	
13	CONSULTING/TRAINING - LDC	0	0	0	0	0	0	0	0	0	0	0	0		0		0
14	GENERAL EXPENSES - LDC	0	1,133	0	0	0	0	0	0	0	0	0	0	0	1,133		(1,133)
15	OPERATING EXPENSES - LDC	0	1,133	0	0	0	0	0	0	0	0	0	0	0	1,133		(1,133)
16																	
17	TOTAL OPERATING EXPENSES	0	2,266	0	0	0	0	0	0	0	0	0	0	0	2,266		(2,266)
18																	
19	TOTAL OPERATIONS:	0	55,887	0	0	0	0	0	0	0	0	0	0	0	55,887		(55,887)
20	OUT-OF-HOME																
21	COMMUNITY CARE FACILITY	0	11,541	0	0	0	0	0	0	0	0	0	0	0	11,541		(11,541)
22	ICF/SNF FACILITY	0	0	0	0										0		0
23	SUB-TOTAL:	0	11,541	0	0	0	0	0	0	0	0	0	0	0	11,541		(11,541)
24	DAY PROGRAMS																
25	DAY TRAINING	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
26	SUB-TOTAL:	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
27	OTHERS																
28	NON-MEDICAL PROFESSIONAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
29	NON-MEDICAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
30	HOME CARE SERVICES	0	0	0	0					0	0	0	0		0		0
31	TRANSPORTATION	0	0	0	0				0	0	0	0	0		0		0
32	OTHER AUTHORIZED	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
33	P & I EXPENSE	0	0	0	0		0	0	0	0	0	0	0	0	0		0
34	MEDICAL EQUIPMENT	0	0	0	0			0		0	0	0	0		0		0

FRANK D. LANTERMAN REGIONAL CENTER
FAMILY RESOURCE CENTER FOR THE 1 SERVICE MONTH ENDED JULY 31, 2020

BUDGET CATEGORY		BUDGET (B 1)	JUL 2020	AUG 2020	SEP 2020	OCT 2020	NOV 2020	DEC 2020	JAN 2021	FEB 2021	MAR 2021	APR 2021	MAY 2021	JUN 2021	TOTAL EXPENDED	PERCENT EXPENDED	BALANCE OF BUDGET
PERSONAL SERVICES																	
1	FAMILY SUPPORT SPECIALIST	0	6,302	0	0	0	0	0	0	0	0	0	0	0	6,302		(6,302)
2	RESOURCE & INFO COORDINATOR	0	3,857	0	0	0	0	0	0	0	0	0	0	0	3,857		(3,857)
3	RESOURCE & INFO SPECIALIST	0	1,978	0	0	0	0	0	0	0	0	0	0	0	1,978		(1,978)
4	TOTAL FRC PERSONAL SERVICES	0	12,137	0	0	0	0	0	0	0	0	0	0	0	12,137		(12,137)
FRC ACTIVITIES/PROJECTS																	
6	INFO DISSEMINATION & REFERRAL	0	0	0	0	0	0	0	0	0	0	0			0		0
7	PUBLIC AWARENESS	0	0	0	0	0	0	0	0	0	0				0		0
8	FAMILY/PROFESSIONAL COLLABORATION	0	790	0	0	0	0	0	0	0	0	0	0		790		(790)
9	TOTAL FRC ACTIVITIES/PROJECTS	0	790	0	0	0	0	0	0	0	0	0	0	0	790		(790)
TOTAL FAMILY RESOURCE CENTER:		0	12,927	0	0	0	0	0	0	0	0	0	0	0	12,927		(12,927)

* The budgeted amount represents only those dollars specifically earmarked in our contract for Family Resource Center services.
Actual support also includes in-kind services and expenses provided through the Operations portion of the Regional Center contract.

FRANK D. LANTERMAN REGIONAL CENTER
ANALYSIS OF REVENUE AND EXPENDITURES
FISCAL YEAR 2019 - 2020
THROUGH JULY 31, 2020

DESCRIPTION	APPROVED BUDGET		EXPENDITURES						
	2019-20 A 6 (1)	2018-19 E 4 (2)	THIS MONTH * (3)	Y-T-D 2019-20 (4)	Y-T-D 2018-19 (5)	PERCENT 2019-20 A 6 (6)	PERCENT 2018-19 E 4 (7)	PERCENT 2017-18 D 5 (8)	2019-20 BUDGET AVAILABLE (9)
OPERATIONS - MAIN CONTRACT									
PERSONAL SERVICES	19,250,502	18,401,767	71,364	18,886,379	17,274,584	98.1%	93.9%	97.0%	364,123
OPERATING EXPENSES	5,223,902	4,471,115	67,252	4,419,308	4,882,946	84.6%	109.2%	104.2%	804,594
TOTAL EXPENSES:	24,474,404	22,872,882	138,616	23,305,687	22,157,530	95.2%	96.9%	98.9%	1,168,717
LESS: INTEREST INCOME	(75,000)	(50,000)	0	(118,699)	(23,668)	158.3%	47.3%	171.6%	43,699
LESS: OTHER INCOME	(18,000)	(18,000)	0	(16,079)	(21,728)	89.3%	120.7%	95.6%	(1,921)
TOTAL INTEREST & OTHER INCOME :	(93,000)	(68,000)	0	(134,778)	(45,396)	144.9%	66.8%	109.0%	41,778
NET OPERATIONS :	24,381,404	22,804,882	138,616	23,170,909	22,112,134	95.0%	97.0%	98.9%	1,210,495
PURCH OF SERVICES - MAIN CONTRACT									
OUT-OF-HOME	55,363,050	49,437,390	399,430	56,729,759	47,383,681	102.5%	95.8%	103.1%	(1,366,709)
DAY PROGRAM	30,674,804	30,402,015	135,462	28,529,586	29,677,923	93.0%	97.6%	101.8%	2,145,218
OTHER SERVICES	122,996,028	100,908,886	2,247,946	120,916,805	87,155,353	98.3%	86.4%	101.2%	2,079,223
TOTAL POS BEFORE ADJUSTMENTS:	209,033,882	180,748,291	2,782,838	206,176,150	164,216,957	98.6%	90.9%	101.9%	2,857,732
LESS: ICF SPA PROGRAM	(1,178,612)	(1,125,980)	(432)	(1,043,918)	(1,406,926)	88.6%	125.0%	91.3%	(134,694)
BUDGET ALLOCATION SURPLUS	4,420,663	3,337,875	0	--	--	--	--	--	
TOTAL ADJUSTMENTS:	3,242,051	2,211,895	(432)	(1,043,918)	(1,406,926)	-32.2%	-63.6%	-105.5%	4,285,969
TOTAL PURCHASE OF SERVICES:	212,275,933	182,960,186	2,782,406	205,132,232	162,810,031	96.6%	89.0%	99.7%	7,143,701
COMMUNITY PLACEMENT PLAN									
PERSONAL SERVICES	632,424	632,424	0	561,835	550,891	88.8%	87.1%	93.1%	70,589
OPERATING EXPENSES	70,900	70,900	0	36,686	52,083	51.7%	73.5%	52.9%	34,214
TOTAL OPERATIONS:	703,324	703,324	0	598,521	602,974	85.1%	85.7%	89.3%	104,803
PURCHASE OF SERVICES	2,526,018	1,645,605	5,399	1,692,899	2,019,820	67.0%	122.7%	94.3%	833,119
TOTAL CPP :	3,229,342	2,348,929	5,399	2,291,420	2,622,794	71.0%	111.7%	93.2%	937,922
FAMILY RESOURCE CENTER									
TOTAL FRC EXPENSES:	155,701	155,701	600	152,169	105,438	97.7%	67.7%	100.0%	3,532
GRAND TOTAL :	240,042,380	208,269,698	2,927,021	230,746,730	187,650,397	96.1%	90.1%	99.4%	9,295,650
RECAP OF TOTAL CONTRACT									
OPERATIONS	25,240,429	23,663,907	139,216	23,921,599	22,820,546	94.8%	96.4%	98.6%	1,318,830
PURCHASE OF SERVICES	214,801,951	184,605,791	2,787,805	206,825,131	164,829,851	96.3%	89.3%	99.6%	7,976,820
TOTAL CONTRACT:	240,042,380	208,269,698	2,927,021	230,746,730	187,650,397	96.1%	90.1%	99.4%	9,295,650

* Column (3) represents payments made in the month following the last service months.

FRANK D. LANTERMAN REGIONAL CENTER
ANALYSIS OF REVENUE AND EXPENDITURES
FISCAL YEAR 2018 - 2019
THROUGH JULY 31, 2020

DESCRIPTION	APPROVED BUDGET		EXPENDITURES						
	2018-19 E 4 (1)	2017-18 D 5 (2)	THIS MONTH * (3)	Y-T-D 2018-19 (4)	Y-T-D 2017-18 (5)	PERCENT 2018-19 E 4 (6)	PERCENT 2017-18 D 5 (7)	PERCENT 2016-17 C 6 (8)	2018-19 BUDGET AVAILABLE (9)
OPERATIONS - MAIN CONTRACT									
PERSONAL SERVICES	18,401,767	17,954,128	0	17,954,497	17,274,584	97.6%	96.2%	97.0%	447,270
OPERATING EXPENSES	4,471,115	4,218,455	0	4,960,086	4,882,946	110.9%	115.8%	104.2%	(488,971)
TOTAL EXPENSES:	22,872,882	22,172,583	0	22,914,583	22,157,530	100.2%	99.9%	98.9%	(41,701)
LESS: INTEREST INCOME	(50,000)	(13,000)		(112,852)	(23,668)	225.7%	182.1%	171.6%	62,852
LESS: OTHER INCOME	(18,000)	(27,000)		(18,009)	(21,728)	100.1%	80.5%	95.6%	9
TOTAL INTEREST & OTHER INCOME :	(68,000)	(40,000)	0	(130,861)	(45,396)	192.4%	113.5%	109.0%	62,861
NET OPERATIONS :	22,804,882	22,132,583	0	22,783,722	22,112,134	99.9%	99.9%	98.9%	21,160
PURCH OF SERVICES - MAIN CONTRACT									
OUT-OF-HOME	49,437,390	48,741,873	(5,895)	50,243,174	47,383,681	101.6%	97.2%	103.1%	(805,784)
DAY PROGRAM	30,402,015	31,637,236	0	28,463,054	29,677,923	93.6%	93.8%	101.8%	1,938,961
OTHER SERVICES	100,908,886	87,562,854	63,679	102,588,846	87,155,353	101.7%	99.5%	101.2%	(1,679,960)
TOTAL POS BEFORE ADJUSTMENTS:	180,748,291	167,941,963	57,784	181,295,074	164,216,957	100.3%	97.8%	101.9%	(546,783)
LESS: ICF SPA PROGRAM	(1,125,980)	(1,516,394)		(1,180,111)	(1,406,926)	104.8%	92.8%	91.3%	54,131
BUDGET ALLOCATION SURPLUS	3,337,875	(2,436,159)	0	--	--	--	--	--	
TOTAL ADJUSTMENTS:	2,211,895	(3,952,553)	0	(1,180,111)	(1,406,926)	-53.4%	35.6%	-105.5%	3,392,006
TOTAL PURCHASE OF SERVICES:	182,960,186	163,989,410	57,784	180,114,963	162,810,031	98.4%	99.3%	99.7%	2,845,223
COMMUNITY PLACEMENT PLAN									
PERSONAL SERVICES	632,424	592,996	0	585,840	550,891	92.6%	92.9%	93.1%	46,584
OPERATING EXPENSES	70,900	68,916	0	36,929	52,083	52.1%	75.6%	52.9%	33,971
TOTAL OPERATIONS:	703,324	661,912	0	622,769	602,974	88.5%	91.1%	89.3%	80,555
PURCHASE OF SERVICES	1,645,605	2,084,985	0	858,761	2,019,820	52.2%	96.9%	94.3%	786,844
TOTAL CPP :	2,348,929	2,746,897	0	1,481,530	2,622,794	63.1%	95.5%	93.2%	867,399
FAMILY RESOURCE CENTER									
TOTAL FRC EXPENSES:	155,701	105,438	0	155,701	105,438	100.0%	100.0%	100.0%	0
GRAND TOTAL :	208,269,698	188,974,328	57,784	204,535,916	187,650,397	98.2%	99.3%	99.4%	3,733,782
RECAP OF TOTAL CONTRACT									
OPERATIONS	23,663,907	22,899,933	0	23,562,192	22,820,546	99.6%	99.7%	98.6%	101,715
PURCHASE OF SERVICES	184,605,791	166,074,395	57,784	180,973,724	164,829,851	98.0%	99.3%	99.6%	3,632,067
TOTAL CONTRACT:	208,269,698	188,974,328	57,784	204,535,916	187,650,397	98.2%	99.3%	99.4%	3,733,782

* Column (3) represents payments made in the month following the last service months.

PROGRAMS AND SERVICES COMMITTEE

Frank D. Lanterman Regional Center

Programs & Services Committee

September 9, 2020

MINUTES

PRESENT

Lupe Trevizo-Reinoso, Chair
Debbie Cornejo
Yudy Mazariegos
Howard McBroom

GUESTS

Christopher Perri
Darryl Goodus

STAFF

Carmine Manicone
Adrian Jimenez

NOT PRESENT

Gladis Cabrera
Oscar Carvajal
Karla Garcia
Josephine Ko
Michiko Wilkins

CALL TO ORDER

The meeting was held remotely using ZOOM and was called to order by Lupe Trevizo-Reinoso at 10:05 AM.

APPROVAL OF MINUTES

The minutes of August 12, 2020 were reviewed and approved by consensus.

ALTERNATIVE DELIVERY NON-RESIDENTIAL SERVICES-DDS DIRECTIVE

In order to accommodate a member's schedule the committee agreed to reverse the order of the proposed agenda and discuss the DDS Directive on Alternative Delivery system prior to hearing the report on the Self-Determination program. Mr. Manicone reported that he, Ms. Kaye Quintero, Mr. Pablo Ibañez, and Ms. Melinda Sullivan recently had a conversation about alternative services and although they have attended several meetings; there is still a great deal of confusion about the program.

Mr. Manicone reported on the recent Town Hall meetings conducted by DDS and that there is clarity that if services are able to continue as they did before COVID or if services are able to continue as they did but via remote delivery or at a different location, then they are still considered traditional services. There was a discussion on what qualified as an alternative delivery model and that service providers had the month of September to engage families and individuals to discuss the best way to meet the needs of the individual clients. There was a suggestion from Mr. Manicone that providers could conduct group sessions with families and individuals to present what their service options were and then hold private follow-up meetings.

All committee members shared their experience and concerns. They agreed that every client needs to be looked at individually to determine whether they receive traditional or alternative services.

Mr. Manicone reported that Mr. Ibañez, Director of Community Services, is planning on putting out a bulleting in a few weeks with more information.

SELF-DETERMINATION: FDLRC UPDATE

Mr. Manicone reported that the self-determination program didn't have a lot of progress in March (at the beginning of the pandemic and quarantine). However, Mr. Adrian Jimenez has organized a training and is finalizing a follow up meeting.

Mr. Jimenez reported that Mr. Howard McBroom was the first Lanterman clients to transition to self-determination. He informed all that there are 62 active clients and 13 have withdrawn after attending a mandatory orientation. There are 18 clients that are waiting until COVID is done to move forward. Fourteen clients have received a preliminary budget, and 11 clients have fully transitioned to self-determination.

Ms. Trevizo-Reinoso asked if the clients who withdrew had been replaced. Mr. Jimenez responded that they have not and on June 7, 2021 self-determination will be open to the entire state.

Ms. Trevizo-Reinoso asked about the impact on service coordinator's workload for those clients who have fully transitioned. Mr. Jimenez explained that the workload is heavy during the transitional period. However, afterwards service coordinators are mainly just conducting wellness checks.

Ms. Trevizo-Reinoso would like to see a timeline later regarding this entire process.

Mr. Goodus commented regarding demographics and Mr. Manicone added that there is a concern that this program would help address disparities but it has not. Discussion followed.

OTHER

Mr. Manicone asked the committee and Mr. Darryl Goodus if he would like to be a voting member of the Programs & Services committee and all were in agreement.

NEXT MEETING

The next committee meeting is scheduled on October 14, 2020.

ADJOURNMENT

The meeting was adjourned at 11:29AM.

/gs

SERVICE PROVIDER
ADVISORY COMMITTEE

**Frank D. Lanterman Regional Center
Service Provider Advisory Committee
September 2, 2020
Minutes**

This committee Zoom meeting was called to order at 10:02 AM and roll call was taken.

SPAC MEMBERS PRESENT

Kimberly West-Isaac
Traci Jones-Martinez
Keri Castaneda
Jim Storck
Yvonne Nakamoto
Kyra Griffith
Dee Prescott
Kelly White
Greg Sanchez
Nicole Hajjar

Guests:

Christian De Paz/ACT
Beatriz Diaz/In2Vision
Katie Enney/Building Lasting S
Chaghig Koulajian/ACT
Michaelann Gabriele/CDK
Lendy Ruano/Easterseals
Krishna Tabor/ButterFli
Maura Enriquez/Easterseals
Rachel Saucedo/Easterseals
Azniv Tonoyan/In2Vision
Yancy Chavez/Easterseals

Lisa Peterson/Shield Healthcare
Christopher Perry/PCDA
Debbie Cornejo/Education Spectrum
Nannette Cruz/ECF
Nancy Niebrugge/The Campbell Ctr
Harry Bruell/Pathpoint
Charlotte Mckoy/Precise Care
Janet Upjohn/Cheerful Helpers
Cathie Chavez/Easterseals
Ashly Dominguez/In2Vision
Bryan Chacon/Maxim Healthcare

Ben Karakunnel/JHome Instead
Larry DeBoer/Board Member
Patricia Flores/Life Steps
Karina Andrade/EL ARCA
Brian Nguyen/Easterseals
Nikisia Simmons/DDS
Cindi Raimondi/ABLE
Andrea Devers/PCS Lanterman
Doniell Miciano/Easterseals
Janet Amador/In2Vision

Staff

Pablo Ibañez
Sonia Garibay
Kaye Quintero

Public Comments

None

Approval of Minutes

The minutes from August 5, 2020 were accepted as submitted.

REPORTS

Board

Ms. Isaac shared that the following was discussed:

- ARCA Report included the impact the recent fires have caused to the Central and Northern California Regional Centers.
- State of Emergency funding.
- Retainer payments for Day Programs and their rate/payment structure.
- DDS Task Force on how to maintain the Transportation Infrastructure.
- Conflict of Interest Review.
- Promotora Program helping families that are in great need.
- Board Finances
- Community Meeting

Legislative Advocacy

No activity/updates

HCBS Self-Assessment Status

Mr. Ibañez and Ms. Garibay reported that not 100% of providers are in compliance with completing the survey. As of 8/25/20 10% of providers have not completed the survey and 25% are not in full compliance with all HCBS requirements. Providers that have not completed the survey will receive a virtual visit from DDS starting in September. They will be conducted by an independent contractor. LRC and DDS will have some involvement. The deadline for full compliance is 2023.

LRC is required to report to DDS on HCBS compliance every six months. Ms. Garibay will be following up and will be assisting providers that are not in compliance to help them find a solution to the identified issues.

Ms. Garibay shared that the issues that are preventing Residential providers to be in compliance are privacy due to some client's sharing bedrooms and access to the community for Day Programs, which is the highest issue due to pandemic restrictions and/or transportation.

The HCBS report can be found on the LRC website under the Service Provider section; a link to this page was provided to meeting attendees. This link will be updated accordingly after every six month report.

OLD BUSINESS

Provider Training Committee

Ms. Prescott shared that no final Provider Training decisions have been reached due to needed to focus on the new DDS Directives. A subcommittee goal is to have a joint meeting with residential providers and day programs. Mr. Ibanez shared that another meeting with residential providers needs to be scheduled before having the joint meeting with day program providers.

Staff Training/Vendor Fair Committee

Mr. Sanchez reported that more research is needed before the plans for a Vendor Fair can continue. He shared that the development of the video concept will continue. This topic will be discussed at the next meeting.

NEW BUSINESS

Alternative Services

Mr. Ibañez reported that an e-bulletin regarding the DDS September 1st implementation on the alternative services delivery will be sent to providers shortly. He stated that the August 31st directive from DDS clarifies what constitutes alternative services vs. remote services. Providers cannot bill for alternative services until the certification process is completed. Providers will need to choose to bill for traditional services or alternative services. Providers will be required to send a monthly report to DDS if providing alternative services. For the months of September and October, providers will use the current rate and look back 12 months, similar to the absence billing.

With absence billing ending, the expectation is that services will continue even if they are alternative services. During month of September providers much engage clients and families if providing alternative services and in October they are expected to draft their plans if providing alternative services.

Mr. Ibañez clarified that it's not clear if one certification form applies for all vendor #s or if it should be one certification form per vendor #.

Amendment to the IPP

Mr. Ibañez clarified that, at the moment, there's no mandate for service coordinators to amend the IPP simply because a client or family will choose alternative services.

Mr. Ibañez shared that Supplemental funding for residential services were extended for 30 more days.

Ms. White shared a concern about Supported Employment not being addressed during COVID. She shared that there are a lot of clients who have been unemployed for months and that there's nothing that providers can do to support them at this point. She suggested having a Town Hall meeting to discuss Supported Employment and also that this should be mentioned in upcoming DDS Directives given its unique nature.

UPDATES/ANNOUNCEMENTS/CONCERNS

- There will be a Town Hall meeting hosted by DDS regarding alternative services this Friday, Sept 4th. Providers were encouraged to register.

ADJOURNEMENT

The meeting was adjourned at 10:49 AM.

Service Provider Advisory Committee Meeting
ATTENDANCE

2019-2020	N O V 19	D E C 19	J A N 20	F E B 20	M A R 20	A P R 20	M A Y 20	J U N 20	J U L 20	A U G 20	S E P 20	O C T 20	T O T A L
K. WEST-ISAAC - Chair	SP	X	N	X	X	X	X	X	X	X	X		
T. JONES MARTINEZ	B	X	O	X	X	X	X	X	X	X	E		
K. CASTANEDA	R	X	M	X	X	X	X	X	X	X	X		
N. HAJJAR	E	X	E	E	E	X	X	E	X	X	X		
D. PRESCOTT	A	E	E	X	X	X	X	X	X	X	X		
J. STORCK	K	X	T	X	X	X	X	X	X	X	X		
Y. NAKAMOTO	F	X	I	X	X	X	X	X	X	X	E		
G. SANCHEZ	A	X	N	X	X	E	X	X	X	X	X		
K. GRIFFITH	S	X	G	X	X	X	X	X	X	X	X		
K. WHITE	T	X		X	E	X	X	X	X	X	X		