### **BRADLEY SMITH**

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING INDIVIDUAL				
Name: BRANUS. SMIH Regional Center: Lanterman Regional Center				
Regional Center Position/Title:  ☐ Governing Board Member ☐ Executive Director ☐ Vendor Advisory Committee sitting on Board ☐ Employee ☐ Contractor ☐ Agent ☐ Consultant		☐ Employee		
Reporting Status:	☐ Annual☐ Change of Status	New Appointment (date):		
If a change in status, date and	circumstance of cha	nge in status:		
Please list your job title and describe your job duties at the regional center.				
Board Member				

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

. 9		☐ Governing Board Member ☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center product yes and If yes, provide the name of the entity or organization and describe what seregional center or regional center consumers. If the provider or contractor is a state or local governmental entity and describe your job or governmental entity.	ervices it provides for the ocal governmental entity,
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a recontractor?  yes  in o If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your finterest.	describe what services it
4.	Are you a regional center advisory committee board member?	

5. If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest? yes no -- If yes, please explain.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee,

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

	☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional center have the potential t financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to the question if the financial benefit would be available to regional center consumers or their families generally].   yes You no If yes, please explain.
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf of the regional center?  ves  no If yes, please explain.
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000. or more, for which Welfare and Institutions Code section 4625.5 requires regional center board approval.
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?
9	Do any of your family members have a financial interest in any contract with the regional center?
	If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?

☑ Governing Board Member Vendor Advisory on Board ☐ Executive Director

the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

<sup>&</sup>lt;sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		Executive Director Employee/Other
	Do you evaluate employment applications or contract bids that are submitted by your fami	ly member(s)?
	☐ yes ☐ no If yes, please explain.	
11.	Your job duties require you to act in the best interests of the regional center and regional chave any circumstances or other financial interests not already discussed above that would in the best interests of the regional center or its consumers?	d prevent you from acting
В.	ATTESTATION	
Stat any cen indi Stat	(print name) HEREBY CONFIRM that I have response to the questions in this Confidence complete, true, and correct to the best of my information and belief. I agree information that might indicate that this statement is not accurate or that I have not conter's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the regular immediately. I understand that knowingly providing false information on this Confidence is the confidence of the confidenc	flict of Interest Reporting that if I become aware of amplied with the regional gional center's designated flict of Interest Reporting
	Signature Date 7-	26-23
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual ☐ does ☐ does not have a ☐ present ☐ potential co  Signature of Designated Reviewer ☐ Date Revi	ew Completed
	Date Nevi	ew Completed

☐ Governing Board Member☐ Vendor Advisory on Board

## **BRIGITTE SROUJIEH**

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING INDIVIDUAL			
Name: BRIGITTE	SROUJIEH	Regional Center: <u>Lan</u>	iterman Regional Center
Regional Center Position/Title:	☐ Contractor	Member Committee sitting on Board □ Agent	<ul><li>☐ Executive Director</li><li>☐ Employee</li><li>☐ Consultant</li></ul>
Reporting Status:  If a change in status, date and	_	New Appointment (date):	
1. Please list your job title and d	escribe your job dutie	s at the regional center.	
Board Member			

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Executive Director☐ Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center product of the provided the name of the entity or organization and describe what so regional center or regional center consumers. If the provider or contractor is a state or lapsociated the specific name of the state or local governmental entity and describe your job of governmental entity.	ervices it provides for the ocal governmental entity,
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a recontractor?  yes  no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your interest.	describe what services it
4.	Are you a regional center advisory committee board member?	to the regional center or
5.	If you are a regional center advisory committee board member and answered yes to all th above, do any of the following apply to you: (a) are you an officer of the regional center purchasing services from a regional center provider; or (c) do you vote on matters where y interest?    yes   no If yes, please explain.	ooard; (b) do you vote on

☑ Governing Board Member☑ Vendor Advisory on Board

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

sections 54505(f).

Tor purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional cer financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not har question if the financial benefit would be available to regional center consumers or their fa  yes no If yes, please explain.	ve to answer "yes" to this
7.	Are you responsible for negotiating, making, <sup>5</sup> executing or approving contracts on behavener?   ☐ yes ☐ no If yes, please explain.	If of the regional
	My only involvement in the approval of regional center contracts relates to contracts of \$250 Welfare and Institutions Code section 4625.5 requires regional center board approval.	,000. or more, for which
8.		- If yes, did you negotiate, - If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional colf yes, did you negotiate, make, execute or approve the contract on behalf of the regional colf yes, please explain.	

☑ Governing Board Member ☐ Vendor Advisory on Board ☐ Executive Director

<sup>5</sup> California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

<sup>&</sup>lt;sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☐ Executive Director☐ Employee/Other
	you evaluate employment applications or contract bids that are submitted by you	our family member(s)?
hav	ur job duties require you to act in the best interests of the regional center and reve any circumstances or other financial interests not already discussed above the the best interests of the regional center or its consumers?	at would prevent you from acting
B. AT	TESTATION	
	RIGITIE SROUJIEH (print name) HEREBY CONFIRM that I	have read and understand the
Statem	ent are complete, true, and correct to the best of my information and belief. If ormation that might indicate that this statement is not accurate or that I have	agree that if I become aware of
ndividı Statem	s Conflict of Interest Policy or the applicable conflict of interest laws, I will notify ual immediately. I understand that knowingly providing false information on tent shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$	his Conflict of Interest Reporting
nstitut	cions Code section 4626.	
	Signature Date	7/20/2023
	INTERNAL USE ONLY	
34	Date this Statement was received by Reviewer:	
10	The reporting individual	2000
***	Signature of Designated Reviewer Da	ate Review Completed

☐ Governing Board Member☐ Vendor Advisory on Board

## MINH KHOA D. TRAN

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

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Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

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A. INFORMATION OF REPORTING INDIVIDUAL				
Name: MINH KHOA D. TRAN Regional Center: Lanterman Regional Center				
Regional Center Position/Title: ☐ Governing Board Member ☐ Executive Director ☐ Vendor Advisory Committee sitting on Board ☐ Employee ☐ Contractor ☐ Agent ☐ Consultant				
Reporting Status:	☑ Annual	New Appointment (date):		
If a change in status, date and	☐ Change of Status circumstance of chai			
1. Please list your job title and describe your job duties at the regional center.				
Board Member				

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		□ Vendor Advisory on Board □ Executive Director □ Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center product yes on a family member <sup>2</sup> work for any entity or organization and describe what s regional center or regional center consumers. If the provider or contractor is a state or provide the specific name of the state or local governmental entity and describe your job or governmental entity.	ervices it provides for the ocal governmental entity,
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a recontractor?  yes  no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your interest.	describe what services it
4.	Are you a regional center advisory committee board member?  ve yes  no If yes, a governing board or owner or employee of an entity or organization that provides services regional center consumers?  ve yes  no If yes, provide the name of the entity or owner services it provides for the regional center or regional center consumers.	are you a member of the to the regional center or organization and describe
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center purchasing services from a regional center provider; or (c) do you vote on matters where you interest?  yes  no If yes, please explain.	ooard; (b) do you vote on

☑ Governing Board Member

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not har question if the financial benefit would be available to regional center consumers or their fa  yes  no If yes, please explain.	ve to answer "yes" to this
7.	Are you responsible for negotiating, making, <sup>5</sup> executing or approving contracts on behavener?   ☐ yes ☐ no If yes, please explain.	lf of the regional
	My only involvement in the approval of regional center contracts relates to contracts of \$250 Welfare and Institutions Code section 4625.5 requires regional center board approval.	,000. or more, for which
8.		- If yes, did you negotiate, - If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional configuration of the regional contract on behalf of the regional configuration.	enter? ☐ yes ☑ no enter? ☐ yes ☐ no

☑ Governing Board Member ☐ Vendor Advisory on Board ☐ Executive Director

the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

5 California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☐ Employee/Other
	o you evaluate employment applications or contract bids that are submitted by your family yes 🖆 no If yes, please explain.	ily member(s)?
h	Your job duties require you to act in the best interests of the regional center and regional center and regional center any circumstances or other financial interests not already discussed above that would not the best interests of the regional center or its consumers?	d prevent you from acting
В. А	ATTESTATION	
region States any ir cente individual States	nal center's Conflict of Interest Policy and that my responses to the questions in this Confirment are complete, true, and correct to the best of my information and belief. I agree information that might indicate that this statement is not accurate or that I have not car's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the residual immediately. I understand that knowingly providing false information on this Confirment shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000 utions Code section 4626.	offlict of Interest Reporting that if I become aware of complied with the regional gional center's designated offlict of Interest Reporting
	Signature Date	20/2023
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual □ does □ does not have a □ present □ potential co	onflict of interest
	Signature of Designated Reviewer Date Rev	riew Completed

☐ Governing Board Member ☐ Vendor Advisory on Board ☐ Executive Director

# ANA VILLASENOR DE RIVAS

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

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Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

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A. INFORMATION OF REPORTING INDIVIDUAL			
Name: An Villasenor Le Rivas Regional Center: Lanterman Regional Center			
Regional Center Position/Title:		☐ Executive Director☐ Employee	
Reporting Status:  If a change in status, date and	☐ Annual☐ Change of Status☐ Change of change of change		
Please list your job title and d     Board Member	escribe your job dutie	s at the regional center.	

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

	Governing Board Member
	☐ Vendor Advisory on Board
	☐ Executive Director
	☐ Employee/Other
Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center	

2. Do you or a family member<sup>2</sup> work for any entity or organization that is a regional center provider or contractor?
yes no -- If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

3. Do you or a family member own or hold a position<sup>3</sup> in an entity or organization that is a regional center provider or contractor? yes no -- If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

5. If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest? yes you no -- If yes, please explain.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f)

<sup>&</sup>lt;sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have question if the financial benefit would be available to regional center consumers or their fall yes no If yes, please explain.	e to answer "yes" to this
7.	Are you responsible for negotiating, making, <sup>5</sup> executing or approving contracts on behavener?    yes   no If yes, please explain.	lf of the regional
	My only involvement in the approval of regional center contracts relates to contracts of \$250 Welfare and Institutions Code section 4625.5 requires regional center board approval.	,000. or more, for which
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?	- If yes, did you negotiate - If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional configuration of the regional contract on behalf of the regional configuration of the regional configuration.	enter?

Governing Board Member ☐ Vendor Advisory on Board

the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

5 California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

	☐ Executive Director☐ Employee/Other
10. Do you evaluate employment applications or contract ☐ yes ☎no If yes, please explain.	t bids that are submitted by your family member(s)?
	ts of the regional center and regional center consumers. Do yo not already discussed above that would prevent you from actin numers?
B. ATTESTATION	
regional center's Conflict of Interest Policy and that my restatement are complete, true, and correct to the best of any information that might indicate that this statement center's Conflict of Interest Policy or the applicable conflict or Interest Policy or the applicable conflict of Interest Policy or the applicable conflict or Interest Policy or the Interest Policy or Interest	time) HEREBY CONFIRM that I have read and understand the responses to the questions in this Conflict of Interest Reporting my information and belief. I agree that if I become aware of its not accurate or that I have not complied with the regional ict of interest laws, I will notify the regional center's designate roviding false information on this Conflict of Interest Reporting to up to fifty thousand dollars (\$50,000) pursuant to Welfare and the Revolution of the Revolution of the Revolution of Interest Reporting to the Revolution of the Revolut
INTERNAL USE ONLY	
Date this Statement was received by Reviewer:	
The reporting individual  does does	not have a ☐ present ☐ potential conflict of interest
Signature of Designated Reviewer	Date Review Completed

☐ Governing Board Member☐ Vendor Advisory on Board

## **SIMON YANG**

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING INDIVIDUAL			
Name: SIMON	Jana	Regional Center: <u>Lan</u>	terman Regional Center
Regional Center Position/Title:	☐ Governing Board ☐ Vendor Advisory ☐ Contractor	Member Committee sitting on Board □ Agent	☐ Executive Director☐ Employee☐ Consultant
Reporting Status:	☐ Annual☐ Change of Status	New Appointment (date):	
If a change in status, date and	circumstance of char	nge in status:	
1. Please list your job title and d	escribe your job dutie	s at the regional center.	
Board Member			

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Executive Director☐ Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center product yes no If yes, provide the name of the entity or organization and describe what s regional center or regional center consumers. If the provider or contractor is a state or local governmental entity and describe your job or governmental entity.	ervices it provides for the ocal governmental entity,
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a recontractor?  yes  no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your interest.	describe what services it
4.	Are you a regional center advisory committee board member?	to the regional center or
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center by purchasing services from a regional center provider; or (c) do you vote on matters where you interest?    yes   no If yes, please explain.	ooard; (b) do you vote on

☑ Governing Board Member ☐ Vendor Advisory on Board

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren,

grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Employee/Other
6.	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not har question if the financial benefit would be available to regional center consumers or their fall yes Ino If yes, please explain.	ve to answer "yes" to this
7.	Are you responsible for negotiating, making, <sup>5</sup> executing or approving contracts on behavener?   ☐ yes ☐ no If yes, please explain.	alf of the regional
	My only involvement in the approval of regional center contracts relates to contracts of \$250 Welfare and Institutions Code section 4625.5 requires regional center board approval.	0,000. or more, for which
8.		If yes, did you negotiate, If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional collifyes, did you negotiate, make, execute or approve the contract on behalf of the regional collifyes, please explain.	

☑ Governing Board Member ☐ Vendor Advisory on Board ☐ Executive Director

benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

	☐ Vendor Advisory on Board☐ Executive Director☐ Employee/Other
10. Do you evaluate employment applications or contract bids that are submitted by your familiary yes Mano If yes, please explain.	ly member(s)?
11. Your job duties require you to act in the best interests of the regional center and regional chave any circumstances or other financial interests not already discussed above that woul in the best interests of the regional center or its consumers?	d prevent you from acting
3. ATTESTATION	
(print name) HEREBY CONFIRM that I have regional center's Conflict of interest Policy and that my responses to the questions in this Constatement are complete, true, and correct to the best of my information and belief. I agree any information that might indicate that this statement is not accurate or that I have not consenter's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the reputividual immediately. I understand that knowingly providing false information on this Constatement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000 institutions Code section 4626.	offlict of Interest Reporting that if I become aware of complied with the regional gional center's designated flict of Interest Reporting
Signature Date 1/	26/23
INTERNAL USE ONLY	
Date this Statement was received by Reviewer:	
The reporting individual	inflict of interest
Signature of Designated Reviewer Date Rev	iew Completed

☐ Governing Board Member

# MELINDA SULLIVAN

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTIN	G INDIVIDUAL		
Name: Melinda Su	llivan	Regional Center:	FDURC
Regional Center Position/Title:	☐ Governing Board☐ Vendor Advisory ☐ Contractor	Member Committee sitting on Board Agent	Executive Director  Employee  Consultant
Reporting Status:	Annual  Change of Status <sup>1</sup>	New Appointment (date)	
If a change in status, date and	circumstance of chan	ge in status:	

1. Please list your job title and describe your job duties at the regional center.

Executive Director

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		Executive Director Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center produced yes and one of the entity or organization and describe what so regional center or regional center consumers. If the provider or contractor is a state or local governmental entity and describe your job or governmental entity.	ervices it provides for the ocal governmental entity,
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a recontractor?  yes no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your interest.	describe what services it
4.	Are you a regional center advisory committee board member?  up yes no If yes, a governing board or owner or employee of an entity or organization that provides services	are you a member of the to the regional center or

Governing Board Member

what services it provides for the regional center or regional center consumers.

regional center consumers?

☐ yes ☐ no -- If yes, provide the name of the entity or organization and describe

<sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

sections 54505(f).

3 For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

	Governing Board Member
<u></u>	Vendor Advisory on Board
X	Executive Director
0	Employee/Other

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to
	financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this
	question if the financial benefit would be available to regional center consumers or their families generally].
	yes Xno If yes, please explain.

7. Are you responsible for negotiating, making, 5 executing or approving contracts on behalf of the regional center? Xyes  $\square$  no -- If yes, please explain.

as executive director I sign contracts.

8. Do you have a financial interest in any contract with the regional center? yes o -- If yes, did you negotiate, u ves u no -- If ves, please explain. make, execute or approve the contract on behalf of the regional center?

u yes 🕱 no 9. Do any of your family members have a financial interest in any contract with the regional center? If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center? use no If yes, please explain.

<sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

For purposes of guestions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

	Governing Board Member
	Vendor Advisory on Board
M	Executive Director
<b>'</b> Q	Employee/Other

	. Do you evaluate employment applications or contract bids that are submitted by your family member(s)? ☐ yes ☐ no If yes, please explain.			
ha	our job duties require you to act in the best interests of the regional center and the any circumstances or other financial interests not already discussed above the best interests of the regional center or its consumers?			
B. A	TESTATION			
regior Stater any in center individ Stater	(print name) HEREBY CONFIRM that center's Conflict of Interest Policy and that my responses to the questions nent are complete, true, and correct to the best of my information and belieformation that might indicate that this statement is not accurate or that I he's Conflict of Interest Policy or the applicable conflict of interest laws, I will not lual immediately. I understand that knowingly providing false information content shall subject me to a civil penalty in an amount up to fifty thousand dollar tions Code section 4626.	of. I agree that if I become aware of nave not complied with the regional of the regional center's designated on this Conflict of Interest Reporting		
	Signature M Sullivan Date	te <u>8-15-23</u>		
	INTERNAL USE ONLY			
	Date this Statement was received by Reviewer:			
	The reporting individual			
	Signature of Designated Reviewer	Date Review Completed		
	<u>e</u>			