# Alex Li

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING INDIVIDUAL		
Name:	Regional Center:	Frank D. Lanterman
Regional Center Position/Title:	Governing Board Member Vendor Advisory Committee sitting on Boar Contractor Agent	☐ Executive Director  ☐ Employee ☐ Consultant
Reporting Status:  If a change in status, date and	Annual New Appointment (date of Change of Status and circumstance of change in status:	te):
Please list your job title and on Board Member	describe your job duties at the regional center.	

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

Governing Board Member
☐ Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a regional center provider or contractor?  yes  no If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.
4.	Are you a regional center advisory committee board member?
5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?    yes   one—If yes, please explain.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee,

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

overning Board Member
endor Advisory on Board
ecutive Director
nployee/Other

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].   yes po If yes, please explain.
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf of the regional center? See In no If yes, please explain.
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Codes section 4625.5 requires regional center Board approval.
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?  make, execute or approve the contract on behalf of the regional center?  yes  no If yes, did you negotiate yes  no If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center?  If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  If yes, please explain.

<sup>5</sup> California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

<sup>5</sup> Celifornia Code of Regulations title 17.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

Governing Board Member
Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

10.	Do you evaluate employment applications or contract bids that are submitted to yes please explain.	by your family member(s)?
11.	Your job duties require you to act in the best interests of the regional center are have any circumstances or other financial interests not already discussed above in the best interests of the regional center or its consumers?	nd regional center consumers. Do you e that would prevent you from acting If yes, please explain.
В.	ATTESTATION	
Ale I	exander Li  (print_pame) HERERY CONFIRM th	nat I have read and understand the
regi	onal center's Conflict of Interest Policy and that my responses to the questions	in this Conflict of Interest Reporting
Stat	ement are complete, true, and correct to the best of my information and belicinformation that might indicate that this statement is not accurate or that I	ef. I agree that if I become aware of
cent	ter's Conflict of Interest Policy or the applicable conflict of interest laws, I will n	otify the regional center's designated
indiv	vidual immediately. I understand that knowingly providing false information ement shall subject me to a civil penalty in an amount up to fifty thousand dolla	on this Conflict of Interest Reporting
Insti	tutions Code section 4626.	is (550,000) pursuant to wenare and
	eSigned via SeamlessDocs, com	
	Signature Da	te08/17/2021
	INTERNAL USE ONLY	
	Date this Statement was received by Reviewer:	
	The reporting individual  does does not have a present	potential conflict of interest
	Signature of Designated Reviewer	Date Review Completed
	Melinda Sullivan	08/17/2021

# Yudy Mazariegos

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Yudy Mazariegos Name:	Regional Center: Lar	nterman
Regional Center Position/Title:	Governing Board Member  ☐ Vendor Advisory Committee sitting on Board ☐ Contractor ☐ Agent	☐ Executive Director☐ Employee☐ Consultant
Reporting Status:  If a change in status, date and	Annual New Appointment (date):  Change of Status  circumstance of change in status:	

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

Governing Board Member
Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a regional center provider or contractor? Syes on note if yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.  I am vendored by the Regional Center to obtain a specific service for my child, which is identified in my child's Individual Program Plan. I provide no direct service to the regional center, my child or the regional center's other clients. In turn, I receive a "parent reimbursement" from the regional center for the specific service I purchase. A ccordingly, I have no financial interest as a parent vendor other than being reimbursed for the specific service I purchase for my child. This arrangement has been reviewed by the Regional Center's Board of Directors.
4.	Are you a regional center advisory committee board member?
5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?   yes no If yes, please explain.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee,

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	<b>Boverning Board Member</b>
ū	Vendor Advisory on Board
	<b>Executive Director</b>
	Employee/Other

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].   yes no If yes, please explain.
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf of the regional center? on one of the regional center? on one of the regional center?
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Codes section 4625.5 requires regional center Board approval.
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?    — yes — no If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?   — yes — no If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center?  If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  If yes, please explain.

<sup>5</sup> California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

<sup>5</sup> California Code of Regulations title 17.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

	Boverning Board Member
ď	Vendor Advisory on Board
	Executive Director
	Employee/Other

	Do you evaluate employment applications or contract bids that are submitted by yes no If yes, please explain.	y your family member(s)?
	Your job duties require you to act in the best interests of the regional center and have any circumstances or other financial interests not already discussed above in the best interests of the regional center or its consumers?	1974;
В. /	ATTESTATION	
II		at I have read and understand the
	onal center's Conflict of Interest Policy and that my responses to the questions ement are complete, true, and correct to the best of my information and belie	
any	information that might indicate that this statement is not accurate or that I h	nave not complied with the regional
	er's Conflict of Interest Policy or the applicable conflict of interest laws, I will no idual immediately. I understand that knowingly providing false information of	.i
State	ement shall subject me to a civil penalty in an amount up to fifty thousand dollar	현실하는 사람들이 있다면 하는 것이 되었다면 보고 있다면 보고 있다면 보고 있다면 하는데 하는데 보고 있다면 하는데 하는데 보고 있다면 하는데 하는데 하는데 되었다면 하는데 하는데 되었다면 하는데 하는데 보고 있다면 하는데
instii	tutions Code section 4626.	
	Signature Samless Docs.com  Key, b3f175ecc8e376cedde47b3352b73bfc Date	te08/18/2021
	INTERNAL USE ONLY  Date this Statement was received by Reviewer:	
	The reporting individual	ootential conflict of interest
	Signature of Designated Reviewer	Date Review Completed
	Melinda Sullivan  Kayi 74004000000000000000000000000000000000	08/18/2021

## Louis Mitchell

Department of Developmental Services

Reset Form

#### **CONFLICT OF INTEREST REPORTING STATEMENT** DS 6016 (Rev. 08/2013)

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A. INFORMATION OF REPORTIN	NG INDIVIDUAL	
Name:	Regional Center:	Lanterman
Regional Center Position/Title:	Governing Board Member  ☐ Vendor Advisory Committee sitting on Board ☐ Contractor ☐ Agent	☐ Executive Director ☐ Employee ☐ Consultant
Reporting Status:	Annual New Appointment (date	·):
If a change in status, date and	d circumstance of change in status:	
<ol> <li>Please list your job title and of Board Member and Second</li> </ol>	lescribe your job duties at the regional center.  d Vice President	

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9	Governing Board Member
	Vendor Advisory on Board
	Executive Director
	Employee/Other

2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a regional center provider or contractor?  yes  no If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.
4.	Are you a regional center advisory committee board member?
5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?  yes no If yes, please explain.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, for purposes of this question.

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

	overning Board Member
Ū	Vendor Advisory on Board
	Executive Director
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6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].   yes please explain.
7.	Are you responsible for negotiating, making, executing or approving contracts on behalf of the regional center?  on one of the regional center?
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8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?  make, execute or approve the contract on behalf of the regional center?  yes in o If yes, did you negotiate yes in o If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center?  If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  If yes, please explain.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

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GG	overning Board Member
UVE	endor Advisory on Board
□ Ex	ecutive Director
☐ Er	nployee/Other

Table 1 and	mployment applications or contract bids that are subres, please explain.	nitted by your famil	y member(s)?
have any circumsta	quire you to act in the best interests of the regional co ances or other financial interests not already discusse its of the regional center or its consumers?	100 all 100 and 100 b	prevent you from acting
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any information that n	night indicate that this statement is not accurate or	that I have not co	mplied with the regional
	erest Policy or the applicable conflict of interest laws,  1. I understand that knowingly providing false inform	100 100	
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Institutions Code section	on 4626.		
	Louis Mitchell		
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## Jae Rhee

#### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

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A. INFORMATION OF REPORTIN	A. INFORMATION OF REPORTING INDIVIDUAL		
Name:	Regional Center: FRANK D.	LANTERMAN	
Regional Center Position/Title:	☐ Vendor Advisory Committee sitting on Board ☐ Em☐ Contractor ☐ Agent ☐ Co	ecutive Director ployee nsultant	
eporting Status:	Annual New Appointment (date):		
If a change in status, date and	d circumstance of change in status:		

1. Please list your job title and describe your job duties at the regional center. BOARD MEMBER

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

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2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.
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4.	Are you a regional center advisory committee board member?
5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?  yes no If yes, please explain.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee,

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

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xecutive Director
Employee/Other

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].   yes no If yes, please explain.
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf of the regional center? on one of the regional center? one of the regional center?
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Codes section 4625.5 requires regional center Board approval.
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?  make, execute or approve the contract on behalf of the regional center?  yes on If yes, did you negotiate, up yes on If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center?  If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  If yes, please explain.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.
 For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that car cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

Governing Board Member
Vendor Advisory on Board
Executive Director
Employee/Other

10. Do you evaluate employment applications or contract bids that are submitted by ☐ yes ☐ no If yes, please explain.	y your family member(s)?
11. Your job duties require you to act in the best interests of the regional center and have any circumstances or other financial interests not already discussed above in the best interests of the regional center or its consumers?	and the state of the contract
B. ATTESTATION	
JAE RHEE  I	of. I agree that if I become aware of nave not complied with the regional of the regional center's designated on this Conflict of Interest Reporting
Signature Dat	te07/30/2021
INTERNAL USE ONLY	1/15-
Date this Statement was received by Reviewer:	
The reporting individual	otential conflict of interest
Signature of Designated Reviewer  Official Action of the State of the	Date Review Completed
Keyl e0r:8edfbrt840/14e552240b-8804f2220áe	07/31/2021

## Dina Richman

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name:		Regional Center: Lar	nterman
Regional Center Position/Title:	Governing Boa Vendor Adviso Contractor	ord Member ry Committee sitting on Board ☐ Agent	☐ Executive Director ☐ Employee ☐ Consultant
Reporting Status:	Annual  Change of Stat	☐ New Appointment (date):	
If a change in status, date and	d circumstance of ch	nange in status:	

1. Please list your job title and describe your job duties at the regional center.

Board member

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

Governing Board Member
Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.
4.	Are you a regional center advisory committee board member?  ves  no If yes, are you a member of the governing board or owner or employee of an entity or organization that provides services to the regional center or regional center consumers?  yes  no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers.
5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?  yes  no If yes, please explain.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a

conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

ioverning Board Member
☐ Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].   yes no If yes, please explain.
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf of the regional center? on one of the regional center? on one of the regional center?
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Codes section 4625.5 requires regional center Board approval.
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?  make, execute or approve the contract on behalf of the regional center?  yes po If yes, did you negotiate, yes no If yes, please explain.
Э.	Do any of your family members have a financial interest in any contract with the regional center?   If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?   yes of yes, please explain.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

the making of a contract.

6 For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

Governing Board Member
Vendor Advisory on Board
Executive Director
Employee/Other

10. Do you evaluate employment applications or contract bids that are submitted b ☐ yes ☐ yes, please explain.	y your family member(s)?
11. Your job duties require you to act in the best interests of the regional center and have any circumstances or other financial interests not already discussed above in the best interests of the regional center or its consumers?	
B. ATTESTATION	
Dina R. Richman  [	ef. I agree that if I become aware of have not complied with the regional orify the regional center's designated on this Conflict of Interest Reporting
Signature Date the state of the state	te07/26/2021
INTERNAL USE ONLY	
Date this Statement was received by Reviewer:	);
The reporting individual $\square$ does $\square$ does not have a $\square$ present $\square$	potential conflict of interest
Signature of Designated Reviewer	Date Review Completed
Melinda Sullivan	07/26/2021

# Brigitte Sroujieh

#### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING INDIVIDUAL				
Name:Brigitte Sroujieh		Regional Center:	Lanterman Regional Center	
Regional Center Position/Title:	Governing Board Men Vendor Advisory Com Contractor		☐ Executive Director ☐ Employee ☐ Consultant	
Reporting Status:	☐ Annual ☐ Change of Status <sup>1</sup>	New Appointment (date	):	
If a change in status, date and	The state of the s	n status:		

Please list your job title and describe your job duties at the regional center. Board member

Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

	Governing Board Member
ā	Vendor Advisory on Board
	Executive Director
	Employee/Other

		_
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.	у,
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a regional center provider of contractor?  yes  no If yes, provide the name of the entity or organization, describe what services provides for the regional center or regional center consumers, and describe your or your family member's financial interest.	it
4.	Are you a regional center advisory committee board member?	or
5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote or purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?   yes  no If yes, please explain.	n

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

<sup>3</sup> For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a

conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

soverning Board Member
☐ Vendor Advisory on Board
☐ Executive Director
☐ Employee/Other

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].   yes po If yes, please explain.
7.	Are you responsible for negotiating, making, executing or approving contracts on behalf of the regional center?  on one of the regional center?
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Codes section 4625.5 requires regional center Board approval.
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?
9.	Do any of your family members have a financial interest in any contract with the regional center?  If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  If yes, please explain.

<sup>5</sup> California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

<sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that are

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

<sup>5</sup> California Code of Persistence 181, 472

<sup>&</sup>lt;sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

Governing Board Member
Vendor Advisory on Board
Executive Director
Employee/Other

10. Do you evaluate employment applications or contract bids that are submitted ☐ yes ☐ yes, please explain.	d by your family member(s)?
	90
11. Your job duties require you to act in the best interests of the regional center have any circumstances or other financial interests not already discussed about in the best interests of the regional center or its consumers?	
76	
B. ATTESTATION	
Brigitte Sroujieh  I	pelief. I agree that if I become aware of t I have not complied with the regional Il notify the regional center's designated on on this Conflict of Interest Reporting
Signature Signature	Date07/31/2021
INTERNAL USE ONLY	
Date this Statement was received by Reviewer:	
The reporting individual does does not have a present	
Signature of Designated Reviewer  **Grand Visit Steambeed Occa.com  **Melinda Sullivan  **For efforts 4010 4010 4010 4010 4722008**	Date Review Completed 07/31/2021

## Melinda Sullivan

#### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTIN	IG INDIVIDUAL		
Name: Melinda 5 Regional Center Position/Title:	☐ Governing Board	Committee sitting on Board	FD LPC  Executive Director  Employee
	Contractor	☐ Agent	Consultant
Reporting Status:	Annual Change of Status	New Appointment (date):	
If a change in status, date and	d circumstance of cha	nge in status:	

1. Please list your job title and describe your job duties at the regional center.

Executive Director

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

	☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a regional center provider or contractor?  yes no If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.
4.	Are you a regional center advisory committee board member?
5.	If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest?    yes  no If yes, please explain.

☐ Governing Board Member

Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

MA

☐ Governing Board Me	ember
Uvendor Advisory on	Board
Executive Director	
Employee/Other	

6. Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member<sup>4</sup>? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].

\[
\textstyle{\textstyle{1}}\] yes \[
\textstyle{2}\] no -- If yes, please explain.

7. Are you responsible for negotiating, making,<sup>5</sup> executing or approving contracts on behalf of the regional center? ves no -- If yes, please explain.

as executive director I review and sign contracts.

8. Do you have a financial interest in any contract<sup>6</sup> with the regional center? 
make, execute or approve the contract on behalf of the regional center? 
yes 
o -- If yes, did you negotiate, 
g yes 
no -- If yes, please explain.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial

benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

<sup>5</sup> California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

<sup>&</sup>lt;sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

☐ Governing Board Member
☐ Vendor Advisory on Board
Executive Director
☐ Employee/Other

10.	Do you evaluate employment applications or contract bids that are submitted by your family member(s)?
	☐ yes ☐ no If yes, please explain.

11.	. Your job duties require you to act in the best interests of the regional center and regional center consumers.	Do you
	have any circumstances or other financial interests not already discussed above that would prevent you from	acting
	in the best interests of the regional center or its consumers?	

#### **B. ATTESTATION**

I Melinda Sullivan (print name) HEREBY CONFIRM that I have read and understand the regional center's Conflict of Interest Policy and that my responses to the questions in this Conflict of Interest Reporting Statement are complete, true, and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that this statement is not accurate or that I have not complied with the regional center's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the regional center's designated individual immediately. I understand that knowingly providing false information on this Conflict of Interest Reporting Statement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000) pursuant to Welfare and Institutions Code section 4626.

INTERNAL USE ONLY

Date this Statement was received by Reviewer

The reporting individual does does not have a present potential conflict of interest

Signature of Designated Reviewer

B 18 302 |

### Ana Villasenor

### CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

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A. INFORMATION OF REPORTING INDIVIDUAL					
Name: Ana & Vallasenor de Rivas Regional Center:					
Regional Center Position/Title:	Governing Board Member Vendor Advisory Committee sitting on Board Contractor Agent	☐ Executive Director☐ Employee☐ Consultant			
Reporting Status:	Annual				
If a change in status, date and	d circumstance of change in status:				

1. Please list your job title and describe your job duties at the regional center.

Board Member

<sup>&</sup>lt;sup>1</sup> Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Vendor Advisory on Board☐ Executive Director☐ Employee/Other
2.	Do you or a family member <sup>2</sup> work for any entity or organization that is a regional center property of the provided of the entity or organization and describe what segments center or regional center consumers. If the provider or contractor is a state or provide the specific name of the state or local governmental entity and describe your job governmental entity.	services it provides for the local governmental entity,
3.	Do you or a family member own or hold a position <sup>3</sup> in an entity or organization that is a r contractor?  yes  no If yes, provide the name of the entity or organization, provides for the regional center or regional center consumers, and describe your or your interest.	describe what services it
4.	Are you a regional center advisory committee board member?	s to the regional center or
5.	If you are a regional center advisory committee board member and answered yes to all the above, do any of the following apply to you: (a) are you an officer of the regional center purchasing services from a regional center provider; or (c) do you vote on matters where interest?  yes  no If yes, please explain.	board; (b) do you vote on
	NA	

Governing Board Member

sections 54505(f).

Tor purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

<sup>&</sup>lt;sup>2</sup> Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter--in-laws. See California Code of Regulations, title 17, sections 54505(f).

Governing Boa	rd Member
☐ Vendor Adviso	ry on Board
☐ Executive Director	
☐ Employee/Othe	er

6.	Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].   yes the potential to financially benefit you or a family member <sup>4</sup> ? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].
7.	Are you responsible for negotiating, making, ** executing or approving contracts on behalf of the regional center?    yes  no If yes, please explain.
	My only involvement in the approval of regional center contracts relates to contracts of \$250,000 or more, for which Welfare and Institutions Codes section 4625.5 requires regional center Board approval.
8.	Do you have a financial interest in any contract <sup>6</sup> with the regional center?  make, execute or approve the contract on behalf of the regional center?  yes Ino If yes, did you negotiate  yes Ino If yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center?  If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center?  If yes, please explain.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

the making of a contract.

<sup>&</sup>lt;sup>4</sup> Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

<sup>&</sup>lt;sup>6</sup> For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

×	Governing Board Member
	Vendor Advisory on Board
	Executive Director
	Employee/Other

10. Do you evaluate employment applications or contract bids that are submitted by ☐ yes ☐ no If yes, please explain.	your family member(s)?			
11. Your job duties require you to act in the best interests of the regional center and have any circumstances or other financial interests not already discussed above	that would prevent you from acting			
in the best interests of the regional center or its consumers?	If yes, please explain.			
O ATTECTATION				
B. ATTESTATION				
Ana F. Villasenov de Riversitation print name) HEREBY CONFIRM that regional center's Conflict of Interest Policy and that my responses to the questions Statement are complete, true, and correct to the best of my information and belief any information that might indicate that this statement is not accurate or that I have center's Conflict of Interest Policy or the applicable conflict of interest laws, I will no individual immediately. I understand that knowingly providing false information of Statement shall subject me to a civil penalty in an amount up to fifty thousand dollar Institutions Code section 4626.	in this Conflict of Interest Reporting f. I agree that if I become aware of ave not complied with the regional tify the regional center's designated in this Conflict of Interest Reporting is (\$50,000) pursuant to Welfare and			
Signature Cha & Villaxenor de River Dat	$-\frac{7-28-21}{}$			
INTERNAL USE ONLY				
Date this Statement was received by Reviewer:				
The reporting individual  does  does not have a present  present	octential conflict of interest			
Signature of Designated Reviewer	Date Review Completed			
& Mulinda Sullivan	8-9-21			